

EARLY START DENVER MODEL

A NATURALISTIC DEVELOPMENTAL BEHAVIORAL INTERVENTION
DESIGNED FOR PRESCHOOLERS WITH AUTISM SPECTRUM DISORDER

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Journal of Autism and Developmental Disorders



AJ DREXEL AUTISM INSTITUTE

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Early Detection and Intervention
Program Leader



Associate Prof. Paul Shattuck
Life Course Outcomes
Program Leader



EARLY DETECTION AND INTERVENTION PROGRAM

Goal: understanding how **early detection** and **early intervention** practices **improve outcomes** in ASD

Focus on characteristics of the:

Child

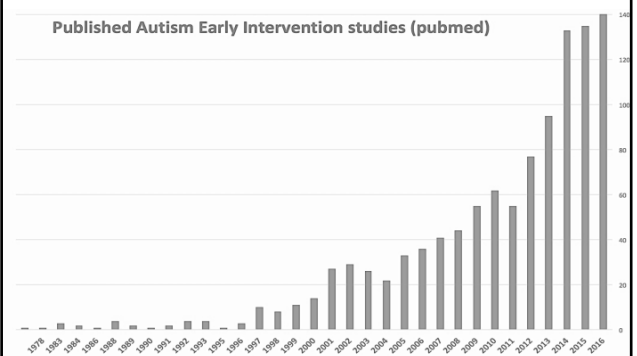
Program

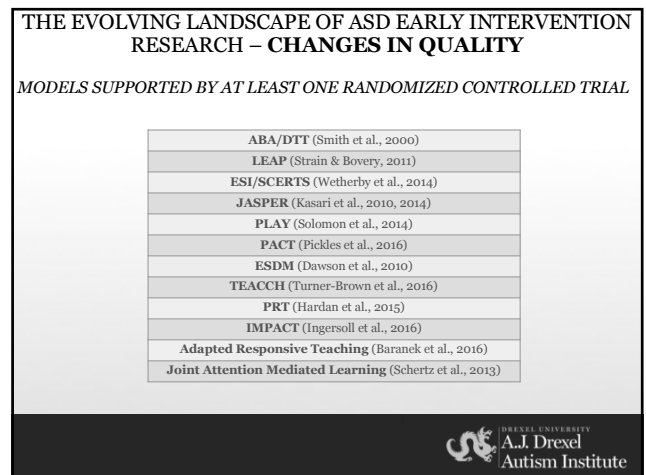
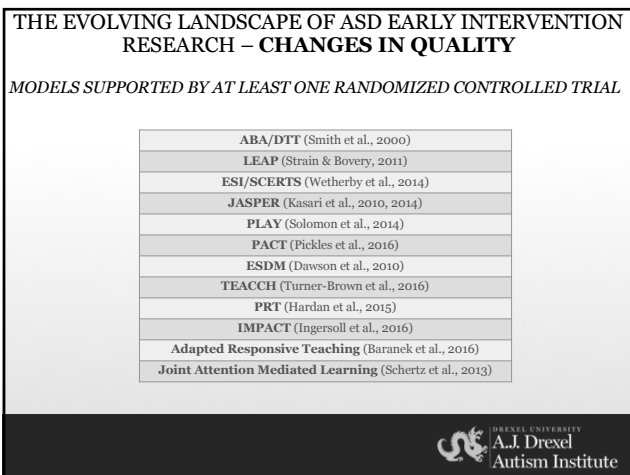
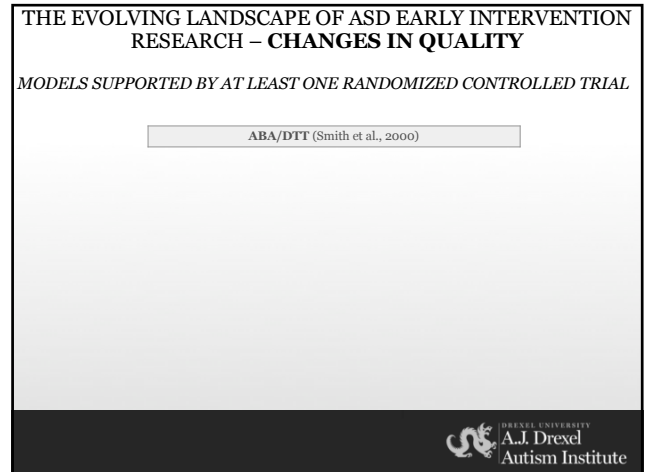
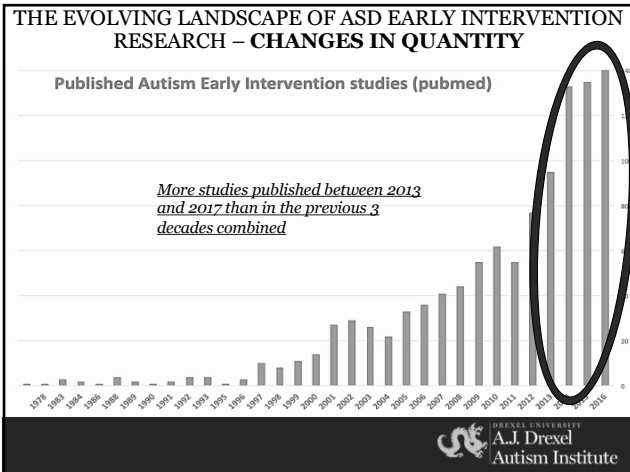
Context



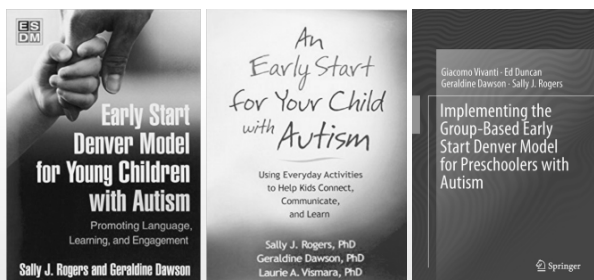
THE EVOLVING LANDSCAPE OF ASD EARLY INTERVENTION RESEARCH – CHANGES IN QUANTITY

Published Autism Early Intervention studies (pubmed)





EARLY START DENVER MODEL (ROGERS AND COLLEAGUES)



Those who fall in love with practice without science are like a sailor who enters a ship without a helm or a compass, and who never can be certain whither he is going
(Leonardo Da Vinci, circa 1490)



- RESEARCH INFORMING ESDM

- ESDM PRACTICES



- ESDM OUTCOME RESEARCH

- RESEARCH INFORMING ESDM**

- ESDM PRACTICES



- ESDM OUTCOME RESEARCH

NEED TO EXPAND RESEARCH KNOWLEDGE TO INFORM EFFECTIVE INTERVENTIONS

- ❖ Early intervention focuses on facilitating the acquisition (learning) of novel skills in children with ASD
- ❖ Therefore, interventions should evolve when our knowledge on how children with ASD learn changes
- ❖ Lack of cross-fertilization between research and practice – 80% of applied research in ASD fails to cite basic science research, and viceversa



(Kazdin, 1999; Vivanti, 2017, *Curr Dir Psychol Sci*;
Vivanti & Nuske, 2016, *Behav Brain Res*;
Critchfield et al., 2015)

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RESEARCH ON THE SELECTIVE NATURE OF EARLY LEARNING

- Early learning driven by selective responsivity to:

1. Ostensive pedagogical cues, including:

- Verbal Labels (Baldwin & Markman, 1989; Bloom, 2002)
- Eye-Contact and gaze cues (Csibra & Gergely, 2011; Wang et al., 2010)
- Affect (Nielsen et al, 2008; Brand & Shallice, 2008)
- Goals (Over & Carpenter, 2012)

2. Novelty versus repetition (Stahl & Feigenson, 2015; Mather, 2013)



(Vivanti & Rogers, 2014, *Phil Trans R Soc B*;
Vivanti et al., 2017, *Cognition*)

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EARLY LEARNING IN AUTISM SPECTRUM DISORDER

Children with ASD can and do learn – not a learning disability

- Intact ability to learn from own actions via trial & error (Vivanti et al 2016, *Mol Aut*)
- Intact implicit learning (Foti, Vivanti et al 2015, *Psych Med*)

However difficulties in **social learning** – learning from (and about) actions and communication of other people



(Vivanti & Rogers, 2014; Vivanti, Dawson & Rogers, 2017)

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EARLY LEARNING IN AUTISM SPECTRUM DISORDER

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However difficulties in **social learning** – learning from (and about) actions and communication of other people

Early differences in early emerging preferences and responses that support social learning
'System preferences' facilitating learning in typical development are reversed

More independent as children, but in most cases more dependent as adults



(Vivanti & Rogers, 2014; Vivanti, Dawson & Rogers, 2017)

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**PHILOSOPHICAL
TRANSACTIONS
OF
THE ROYAL
SOCIETY**

B

**Autism and the mirror neuron system:
insights from learning and teaching**

Giacomo Vivanti^{1,2} and Sally J. Rogers³

¹Ogla Tennison Autism Research Centre, School of Psychological Science, and ²Victorian Autism Specific Early Learning and Care Centre, La Trobe University, Melbourne, Victoria, Australia
³The MIND Institute, University of California, Davis Medical Center, Sacramento, CA, USA

rstb.royalsocietypublishing.org

Ability for Social Learning

Motivation for Social Learning

Social Modulation of Learning

**Journal of
Abnormal
Child Psychology**

Mechanisms of Imitation Impairment in Autism Spectrum Disorder

Giacomo Vivanti · David Trembath · Cheryl Dissanayake

Attention to the demonstration

Group	Action	Face
ASD	~85	~25
ID	~75	~30
TD	~75	~30

$F(2, 54)=4.55; p=0.01, \eta^2=0.15$

Frequency

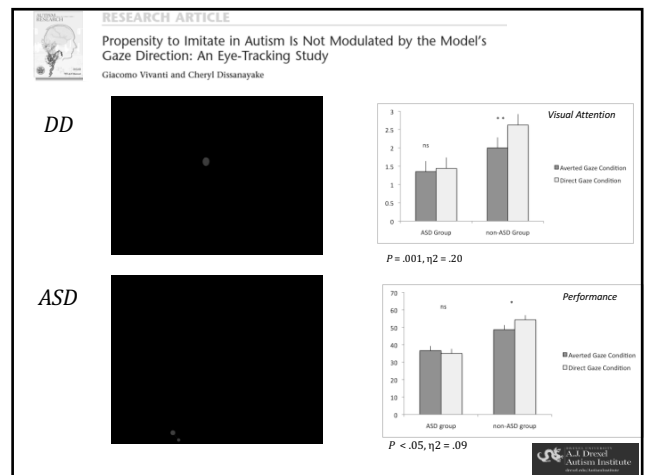
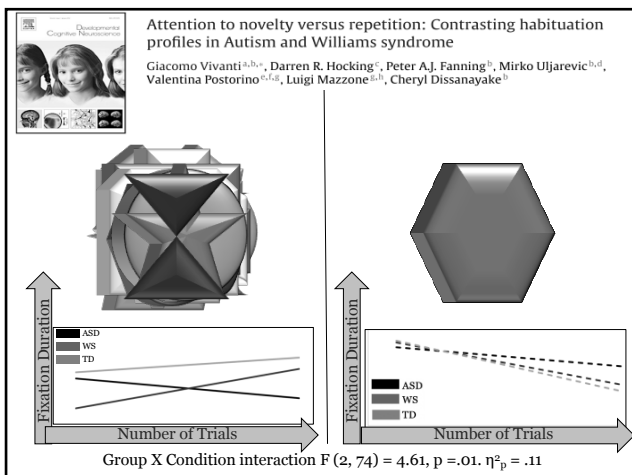
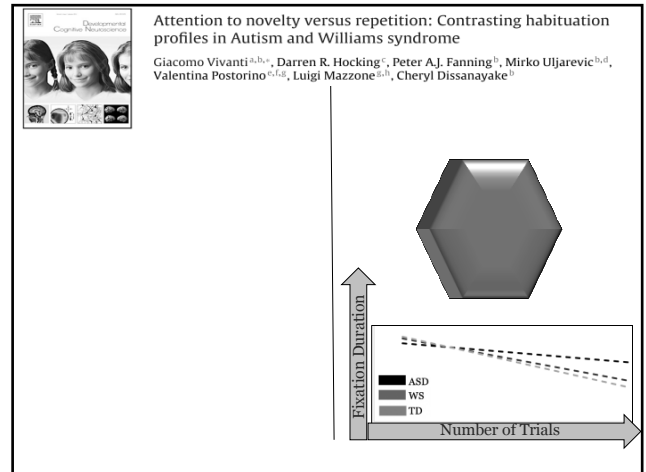
Group	Frequency
ASD	~55
ID	~75
TD	~95

$F(2,60)=12.89; p<0.001, \eta^2=0.30$

Style

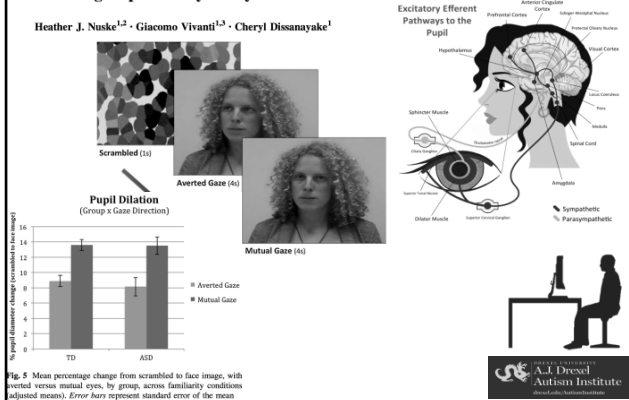
Group	Style
ASD	~25
ID	~35
TD	~65

$F(2,58)=10.68, p<0.001, \eta^2=0.49$



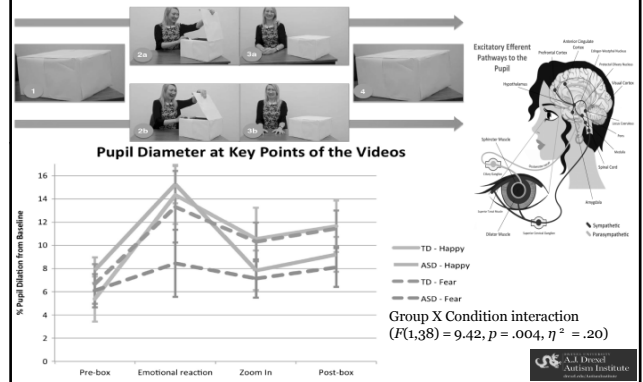
No Evidence of Emotional Dysregulation or Aversion to Mutual Gaze in Preschoolers with Autism Spectrum Disorder: An Eye-Tracking Pupillometry Study

Heather J. Nuske^{1,2} · Giacomo Vivanti^{1,2} · Cheryl Dissanayake¹



Others' emotions teach, but not in autism: an eye-tracking pupillometry study

Heather J. Nuske^{1,2}, Giacomo Vivanti^{1,2} and Cheryl Dissanayake¹



Social affiliation motives modulate spontaneous learning in Williams syndrome but not in autism

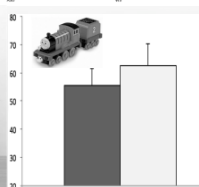
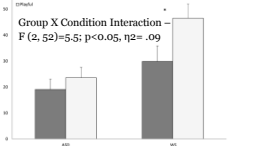
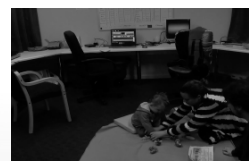
Giacomo Vivanti^{1,2*}, Darren R. Hocking¹, Peter Fanning² and Cheryl Dissanayake²



Playful vs Neutral Model - WS



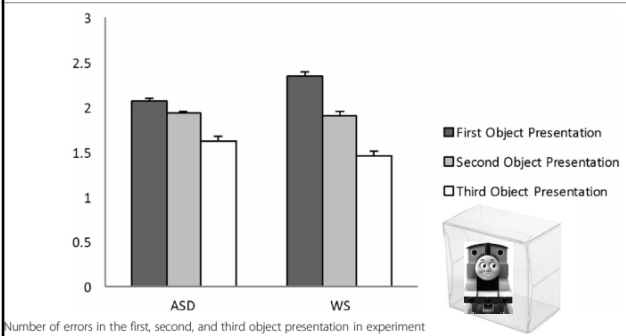
Social vs Instrumental Imitation



(Vivanti et al., 2016, Mol Aut)



Learning by watching vs learning by doing



No group differences between ASD and WS
TD at ceiling

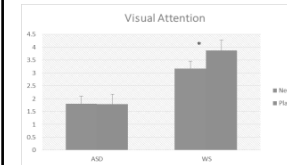


Playful vs Non-Playful Imitation

Playful model



Non-playful model



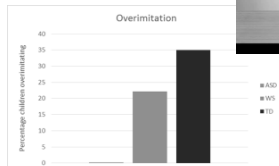
Group
 $F(2, 52) = 12.61; p < 0.001, \eta^2 = .2$
Group X Condition Interaction -
 $F(2, 52) = 5.5; p = 0.02, \eta^2 = .1$



The social nature of overimitation: Insights from Autism and Williams syndrome

Giacomo Vivanti^{a,b,*}, Darren R. Hocking^c, Peter Fanning^b, Cheryl Dissanayake^b

COGNITION



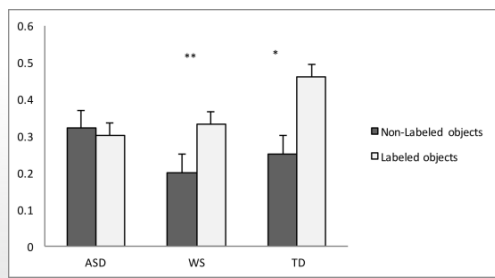
Verbal labels increase the salience of novel objects for preschoolers with typical development and Williams syndrome, but not in autism

Journal of
Neurodevelopmental Disorders

Giacomo Vivanti^{a,c,d}, Darren R. Hocking^c, Peter Fanning^b and Cheryl Dissanayake^d



Visual attention



Group X Condition Interaction - $F(2, 60) = 4.23$; $p = 0.01$, $\eta_p^2 = 0.13$

(Vivanti et al., 2016b, JNDD)



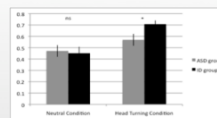
Importance of individual differences

Exp Brain Res (2014) 232:695–701

Atypical monitoring and responsiveness to goal-directed gaze in autism spectrum disorder

Giacomo Vivanti · David Trembath · Cheryl Dissanayake

Goal understanding



$P = .001$, $\eta^2 = .29$



(Vivanti et al., 2014, Exp Brain Research)



RESEARCH ARTICLE

The Action Observation System when Observing Hand Actions in Autism and Typical Development

Jennifer J. Pokorny, Naomi V. Hatt, Costanza Colombi, Giacomo Vivanti, Sally J. Rogers, and Susan M. Rivera

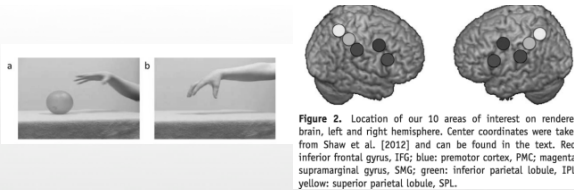


Figure 2. Location of our 10 areas of interest on rendered brain, left and right hemisphere. Center coordinates were taken from Shaw et al. (2012) and can be found in the text. Red: inferior frontal gyrus, IFG; blue: premotor cortex, PMC; magenta: supramarginal gyrus, SMG; green: inferior parietal lobule, IPL; yellow: superior parietal lobule, SPL.

Same response to goal-directed and non goal-directed actions (in TD $p < .001$)

(Pokorny et al., 2015, Autism Research)




Implications for teaching practices

- ❖ Visual attention and learning less modulated by pedagogical cues and novelty
- ❖ Relevance of goals
- ❖ Individual differences
- ❖ Implication for teaching




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- RESEARCH INFORMING ESDM
- ESDM PRACTICES**
- ESDM OUTCOME RESEARCH



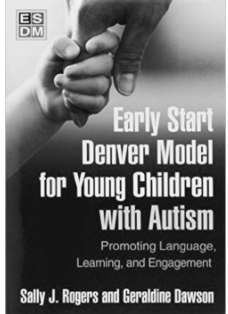
Early Start Denver Model

Comprehensive comprehensive early intervention for toddlers with autism ages 12–48 months.



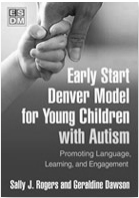
“Denver Model”
Sally Rogers and colleagues, 1984

“Early Start Denver Model”
Rogers & Dawson, 2010



CRITICAL TREATMENT TARGETS

Behaviors that enable social learning and engagement in naturalistic social interaction and cooperative activities




→ { Imitation
Social Orientation
Joint Attention
Emotion Sharing
Communication } → Social Learning Infrastructure

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ESDM - DEVELOPMENTAL APPROACH

Curriculum follows Developmental Sequences

Scaffolding, shared control, use of child-preferred activities for meaning, motivation and reward



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ESDM PRINCIPLES

- ❖ Teaching episodes are embedded in daily routines and playful social interaction (Joint Activity Routines)
- ❖ Active experiential learning promoted by following children's preferences and motivation
- ❖ Social-pragmatic view of language development
- ❖ ABA strategies (ABC, fading, prompting etc..)
- ❖ Data-driven (including, individualized goals, fidelity, decision tree)



ESDM – TREATMENT PRACTICES

Table 1 – Commonalities and differences between ABA/DTT and ESDM instructional approaches

Shared Principles and Strategies	Differences in Teaching Procedures	
	DTT	ESDM
Individualization of treatment goals Comprehensive Intensity Manualized teaching practices and fidelity systems Data-based monitoring of progress Three-part contingency structure (Antecedent, Behavior, Consequence) Use of behavioral techniques (e.g. prompting, fading, shaping)	Adult-directed adult selects teaching materials, settings, activity and reinforcers	Shared control adult builds learning opportunities on child's spontaneous interest
	Extrinsic Reinforcers consequence of the desired behavior is an external reward, (e.g. token, edible)	Intrinsic Reinforcers desired behavior occurs in the context of inherently rewarding social interactions
	Discrete Trial format adult delivers stripped-down, concise, and unambiguous instructional cues	Joint Activity Routine format adult displays animated/playful facial emotions and body language when delivering instructional cues

THE STARTING POINT – EVALUATION: ESDM CURRICULUM CHECKLIST

- ESDM Curriculum Checklist: Criterion-referenced tool which provides developmental sequences of skills in 8 domains
- 480 items organized in 4 levels:
 - 9-12 up to 48 month period
- Placement of items across levels reflects typical child development research and clinical experience

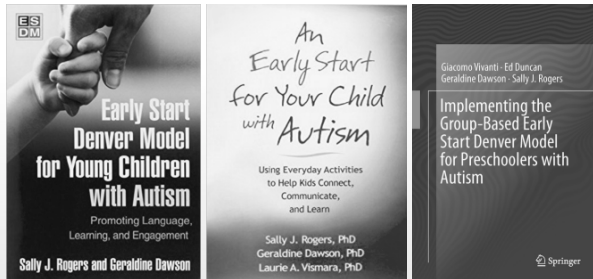


FRAMEWORK FOR TEACHING: JOINT ACTIVITY ROUTINES

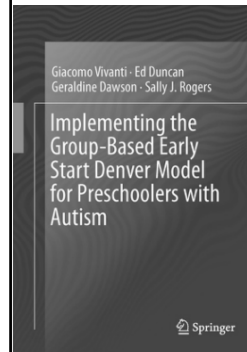
- Follows child choice or interest
 - Both partners engage in activity
 - Targets multiple objectives from different domains
 - Brief, 2-4 minutes in length
- ☐ **Step 1:** Set Up (Develop a theme)
- ☐ **Step 2:** Theme (Take turns, collaborate)
- ☐ **Step 3:** Add variations (increase play complexity, expand child repertoire, target multiple objectives, build up flexibility)
- ☐ **Step 4:** Close the activity and transition to new one



EARLY START DENVER MODEL (ROGERS AND COLLEAGUES)



GROUP-BASED EARLY START DENVER MODEL



Focused on facilitating learning within small groups through guided peer interaction (1:3/1:4)

Target: community childcare/preschool programs

Rationale

- ❖ Small group environments more consistent with common cultural practices
- ❖ Peers and childcare teachers - untapped resources
- ❖ Concerns with sustainability of 1:1
- ❖ Concerns with parent-implemented programs
- ❖ More opportunities to target goals needed in the next learning environment, including:
 - ❖ Following shared daily routines
 - ❖ Participating in group activities
 - ❖ Social engagement and play with peers
 - ❖ Daily living and safety skills (e.g., hat!)

(Rogers & Lewis 1989; Rogers, 1998; Rogers & Dawson, 2010)

Fostering peer interactions

Set Up:

- Physical positioning
- Parallel play with double toy sets
- Materials as magnets
- Adults as "invisible supports"

Circle games

Goals:

- Giving materials to peers
- Taking materials from peers
- Showing objects
- Asking for a turn
- Asking for an object
- Saying "no", "mine", "not yet" or "in a minute"
- Responding to peer greetings



FROM ESDM TO G-ESDM - KEY ADAPTATIONS



- ❖ Low staff-to-child ratios (1:3 – 1:4)
- ❖ Individual goals are targeted within small group activities
- ❖ Activity centers
- ❖ Different levels of training in the team



- RESEARCH INFORMING ESDM

- ESDM PRACTICES



- ESDM OUTCOME RESEARCH**

Randomized, Controlled Trial of an Intervention for Toddlers With Autism: The Early Start Denver Model

Geraldine Dawson, Sally Rogers, Jeffrey Munson, Milani Smith, Jamie Winter, Jessica Greenson, Amy Donaldson and Jennifer Varley

48 Children < 2.5 years of age

ESDM vs. Community, 2 year intervention – 25 hr/week

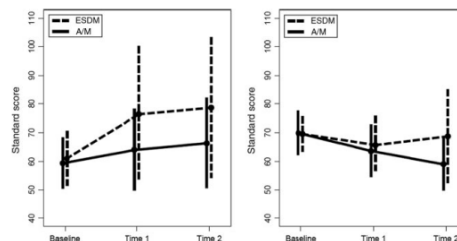
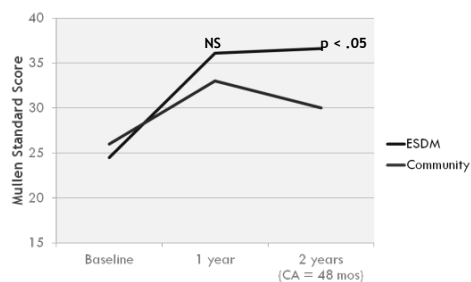


FIGURE 2
Mean scores on the MSEL (left) and the VABS composite (right) for children in the ESDM and A/M groups 1 and 2 years after entering study. Error bars indicate ± 1 SD.

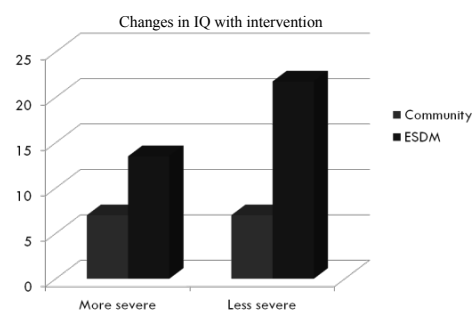
Effects of Intervention on Expressive Language

Dawson, et al., Pediatrics 2010



Severity of ASD moderates outcomes, but those with more severe ASD improve in ESDM

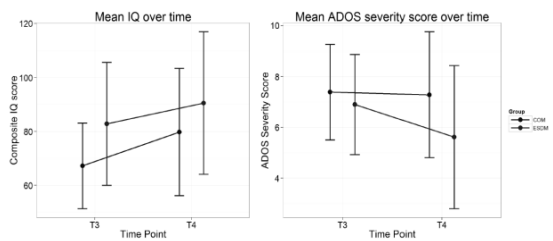
Dawson, et al., Pediatrics, 2010



Long-Term Outcomes of Early Intervention in 6-Year-Old Children With Autism Spectrum Disorder

Annette Estes, PhD, Jeffrey Munson, PhD, Sally J. Rogers, PhD, Jessica Greenson, PhD, Jamie Winter, PhD, Geraldine Dawson, PhD

- Follow-up of the same children, two years after



Journal of the American Academy of
Child & Adolescent Psychiatry

Available online 4 July 2017
In Press, Accepted Manuscript



Cost Offset Associated With Early Start Denver Model for Children With Autism

Zuleyha Cidav PhD¹, Jeff Munson PhD², Annette Estes PhD², Geraldine Dawson PhD³, Sally Rogers PhD⁴, David Mandell ScD¹

During treatment, costs for children in the ESDM group was higher by about \$14,000 than those of children who received community-based treatment.

In the post-intervention period, compared with children who had earlier received treatment as usual in community settings, children in the ESDM group needed less services, resulting in cost savings of about \$19,000 per year per child.

Costs associated with ESDM treatment were fully offset within a few years after the intervention due to reductions in other service use and associated costs.

Early Behavioral Intervention Is Associated With Normalized Brain Activity in Young Children With Autism

Geraldine Dawson, Ph.D., Emily J.H. Jones, Ph.D., Kristen Merkle, B.S., Kaitlin Venema, B.S., Rachel Lowy, B.S., Susan Faja, Ph.D., Dana Kamara, B.S., Michael Murias, Ph.D., Jessica Greenson, Ph.D., Jamie Winter, Ph.D., Milani Smith, Ph.D., Sally J. Rogers, Ph.D., and Sara J. Webb, Ph.D.

Outcome study

TD=17

ESDM=24

Comm=24

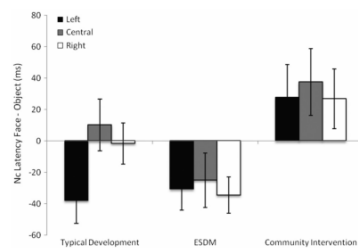
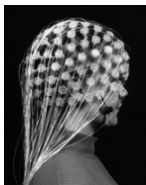


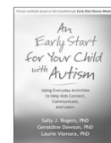
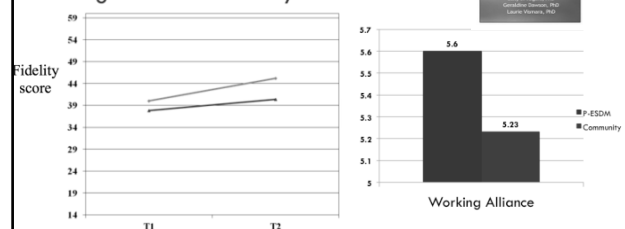
Figure 3
Differences in peak latency of component (Nc) responses to faces and objects for children with typical development, Early Start Denver Model (ESDM) intervention, and community intervention. Note: Negative scores represent faster responses to faces than to objects.

J Am Acad Child Adolesc Psychiatry. 2012 October ; 51(10): 1052–1065. doi:10.1016/j.jaac.2012.08.003.

Effects of a Brief Early Start Denver Model (ESDM)-Based Parent Intervention on Toddlers at Risk for Autism Spectrum Disorders: A Randomized Controlled Trial

12 wks, 1 hr clinic sessions involving collaborative parent coaching

Change in Parent Fidelity Scores



Autism Treatment in the First Year of Life: A Pilot Study of Infant Start, a Parent-Implemented Intervention for Symptomatic Infants

S. J. Rogers · L. Vismara · A. L. Wagner ·
C. McCormick · G. Young · S. Ozonoff

7 infants (7-15 month olds) symptomatic of ASD: sibs, clinical referrals

Consistently elevated scores and parent and expert clinician concerns

Parent coaching model

12 weeks, 1 session per week

Post-treatment fewer ASD symptoms compared to controls,

But more than typical children

All children except for 1 in the typical cognitive range

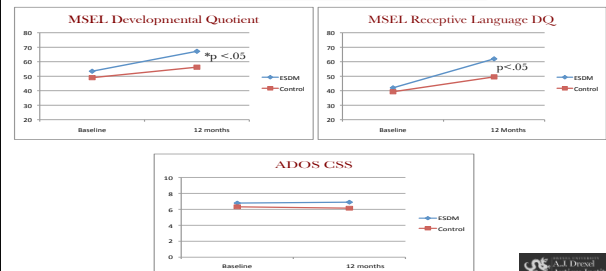


Effectiveness and Feasibility of the Early Start Denver Model Implemented in a Group-Based Community Childcare Setting

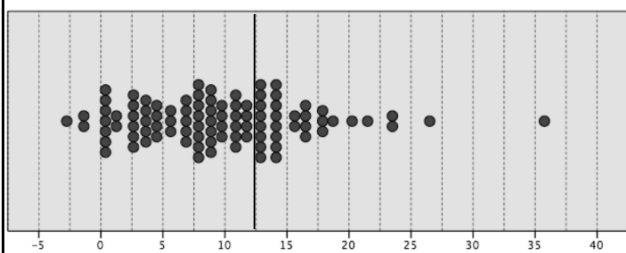
Giacomo Vivanti · Jessica Paynter ·
Ed Duncan · Hannah Fothergill · Cheryl Dissanayake ·
Sally J. Rogers · the Victorian ASELCC Team

Table 3. Participant cognitive, adaptive and social functioning at pre- and post-treatment

	12-month outcome				Group comparisons									
	ESDM				Control				Group × Time interaction					
	Baseline		Time 2		Baseline		Time 2		Time		Group × Time interaction			
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	F	P	ES	F	P	ES
MSEL DQ	55.46	16.29	67.21	20.17	49.01	17.40	56.26	22.53	48.84	<.001	.46	4.48	<.05	.87
VABS ABC	70.71	12.55	72.33	13.48	68.90	9.23	72.97	15.65	5.96	.01	.30	.30	.31	.88
ADOS SS	6.78	2.34	6.89	2.34	6.31	2.10	6.14	1.62	.01	.92	.00	.23	.63	.88

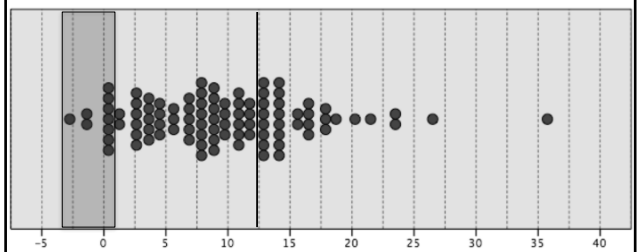


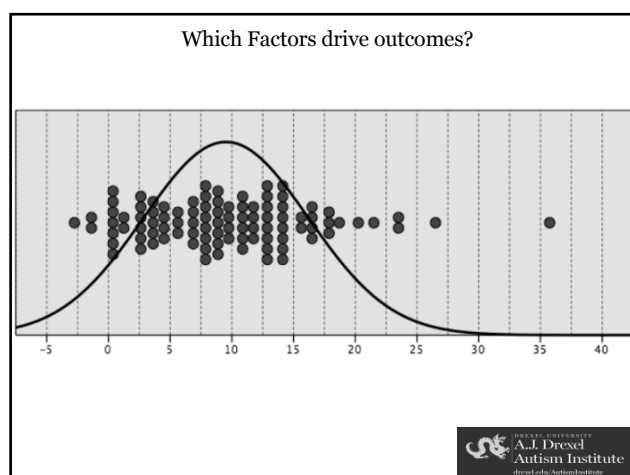
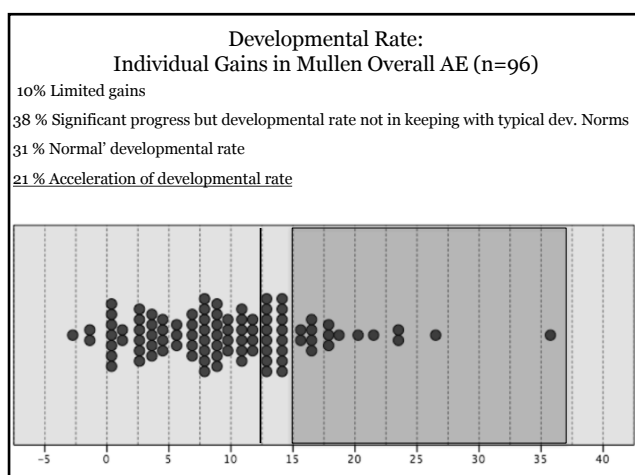
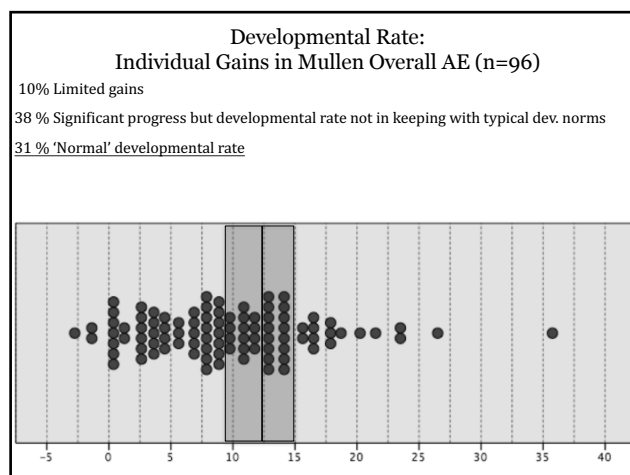
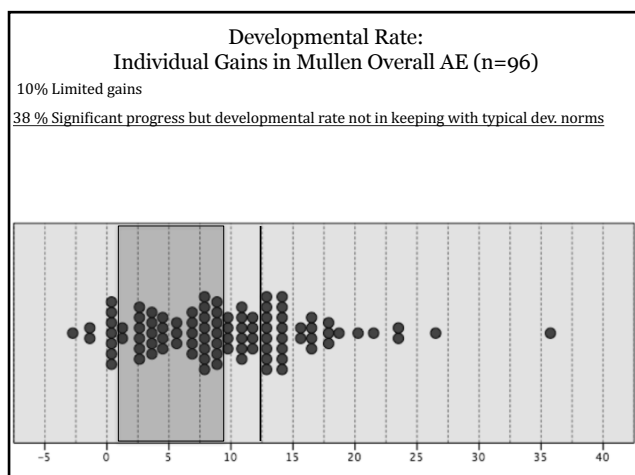
Developmental Rate: Individual Gains in Mullen Overall AE (n=96)



Developmental Rate: Individual Gains in Mullen Overall AE (n=96)

10 % Limited gains





ARISTOTLE (340 BC)

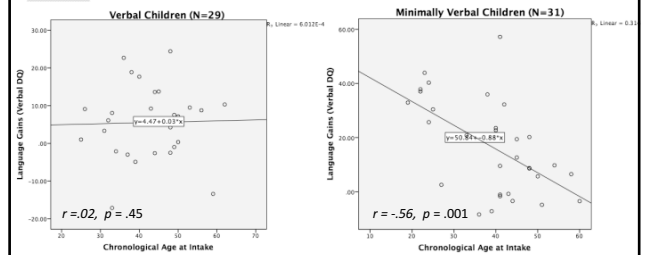
"IT IS EASY TO KNOW THE EFFECTS OF
HONEY, WINE AND OTHER REMEDIES.
BUT TO KNOW HOW, FOR WHOM, AND
WHEN WE SHOULD APPLY THESE AS
REMEDIES IS NO LESS AN
UNDERTAKING THAN BEING A
PHYSICIAN"



DREXEL UNIVERSITY
A.J. Drexel
Autism Institute

Outcome for Children Receiving the Early Start Denver Model Before and After 48 Months

Giacomo Vivanti^{1,2} · Cheryl Dissanayake² · The Victorian ASELCC Team³



Language gains predicted by the combined effect of age and initial language level
(R square increase due to interaction $F=4.52, p<.05$)

A.J. Drexel
Autism Institute

Brief Report: Predictors of Outcomes in the Early Start Denver Model Delivered in a Group Setting

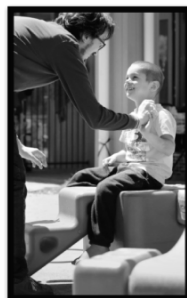
Giacomo Vivanti · Cheryl Dissanayake ·
Cynthia Zierhut · Sally J. Rogers ·
Victorian ASELCC Team

Children who benefit most from the ESDM are those
who display:

- ↑ Spontaneous functional play
- ↑ Spontaneous imitation,
- ↑ Gaze following/goal understanding,
- ↓ Severity of autism symptoms

No significant role:

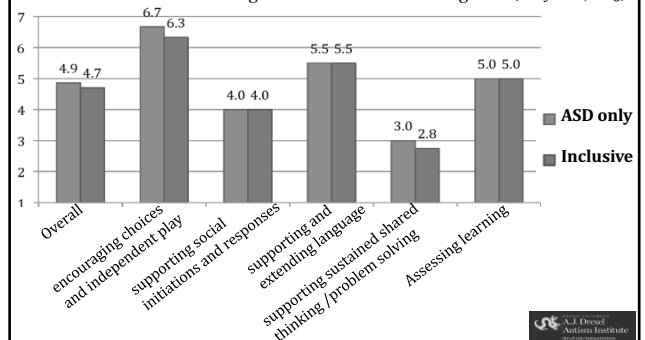
Overall cognitive level; RRB, social attention



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Impact of setting – inclusive vs segregated

- Pilot RCT - 16 children receiving Group-ESDM in a mainstream (inclusive) setting and 16 in an ASD-only (segregated) setting
- Sustained Shared Thinking and Emotional Well-Being scale (Siraj et al., 2015)



A.J. Drexel
Autism Institute

Thank you for your attention!

The Victorian ASELCC team, OTARC team and Drexel EDI team

Sally J Rogers

Cheryl Dissanayake

Tristram Smith

Lynn Koegel

David Mandell

Connie Kasari

Heather Nuske

Darren Hocking

Peter Fanning



All the children who took part in their research and their families !

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