

# Bringing science to the community: A new system of healthcare delivery for infants & toddlers with autism spectrum disorders

National Autism Conference, State College, PA  
August 3, 2015

---

Ami Klin, PhD

Director, Marcus Autism Center, Children's Healthcare of Atlanta  
Georgia Research Alliance Eminent Scholar Professor & Chief, Division of Autism,  
Department of Pediatrics, Emory University School of Medicine  
Emory Center for Translational Social Neuroscience



EMORY  
UNIVERSITY  
SCHOOL OF  
MEDICINE



# Thank You

---

- The children and families who support our clinical and research activities
- Warren Jones and many wonderful colleagues and students
- The Marcus Foundation
- The JB Whitehead and Woodruff Foundations
- The Children's Healthcare of Atlanta Foundation
- The Georgia Research Alliance  
as well as
- The National Institute of Mental Health
- The National Institute of Child Health and Human Development
- The National Science Foundation
- The Simons Foundation
- The Autism Science Foundation
- Autism Speaks
- United Way
- Cox Foundation

# Conflicts of Interest

---

No conflicts of interest associated with this presentation

# Marcus Autism Center



# Marcus Autism Center at a glance



- Translation
- Impact
- Clinical Resources



*Excellence*



EMORY  
UNIVERSITY  
SCHOOL OF  
MEDICINE

- Science
- Faculty Advancement
- Research Resources

## CLINICAL OPERATIONS

- >5,700 unique patients/yr
- Tx: set protocols (x visits)
- > 65% on Medicaid
- ~ 40% minorities/under-served

- Clinical Assessment/Diagnosis
- Treatment Programs
  - Center/Home/School/Community
- Care Coordination Program
- Educational Outreach Program

## RESEARCH INITIATIVES

- CAUSES
- TREATMENT
- COMMUNITY-VIABLE SOLUTIONS
- IMPLEMENTATION SCIENCE



CENTER-BASED  
MODEL PROGRAM



COMMUNITY-VIABLE  
OUTREACH MODEL



*The Science of Clinical Care*



# Research Enterprise

- CAUSES
- TREATMENT
- COMMUNITY-VIABLE SOLUTIONS
- “VALUE PROPOSITION”

## RESEARCH INITIATIVES

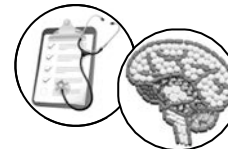
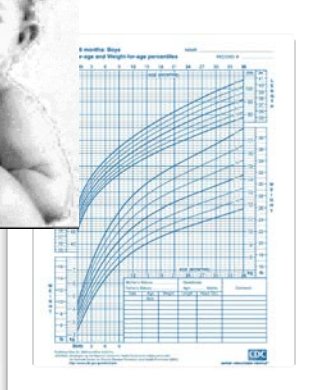
## RESEARCH INFRASTRUCTURE

- 13 RESEARCH CORES
- 9 INTERNAL, 4 COLLABORATIVE
- RESEARCH ADMINISTRATION
- INFORMATICS
- DATA MANAGEMENT & ANALYSIS

## *Strategy for Research Enterprise*



*Concept*



*Clinical Trials*



*Animal  
Models*



*Diagnosis &  
Treatment*



*Social  
Neuroscience*



*Neurobiology*



*Genetics*

Marcus Autism Center

# Autism as a Public Health Challenge

- Prevalence: 1 : 68 [1:42 in boys]
- Community Disparities (dx; access)
- Societal Cost/Year in the US: \$ 136 billion
- Lifetime Cost of Care Per Child: \$ 2.4 million
- Despite strong genetic bases, diagnosis is behavioral, reference standards excellent (ADI-R/ADOS/expert clinician)
- Majority of autism diagnoses in US outside academic medical centers
  - \* usage of ADI-R and ADOS in fewer than 0.1% and 2.1%, respectively
  - \* questionnaires/checklists in 30%



*CDC, 2014; Peacock et al., 2012; Cidav et al., 2012; Mandell et al., 2013; 2014; Wang et al., 2013; Buescher et al., 2014; Wiggins et al., 2006*

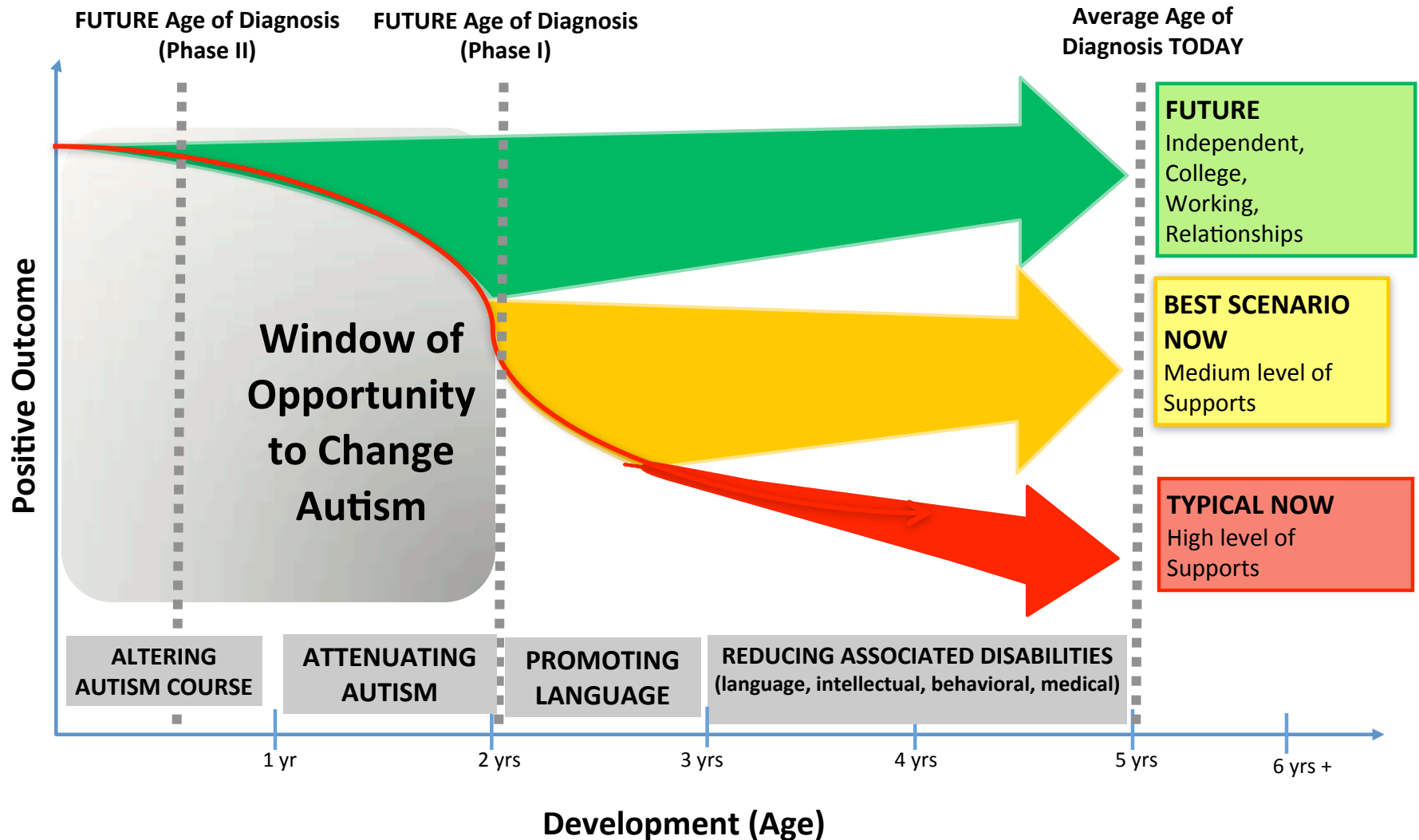
# Challenges and Opportunities: Reducing Age of Diagnosis & Improving Access to Care

---

- Brain disorder of genetic origins
- Adverse outcomes can be attenuated
- Importance of early diagnosis and intervention for lifelong outcome and cost of care
- American Academy of Pediatrics
  - Screening (18 and 24 months), but still low uptake
- 8% of primary care providers routinely screen for ASD
- Median age of diagnosis in US: 4-6 to 5.7 years
- Later still in disadvantaged communities
- No Community-viable system of care
- Reimbursement systems NOT in place

*Johnson & Myers, 2007; Dosreis et al., 2006; Heidgerken et al., 2005; Honigfeld et al., 2012; Shattuck et al., 2009; Mandell et al., 2005; 2009*

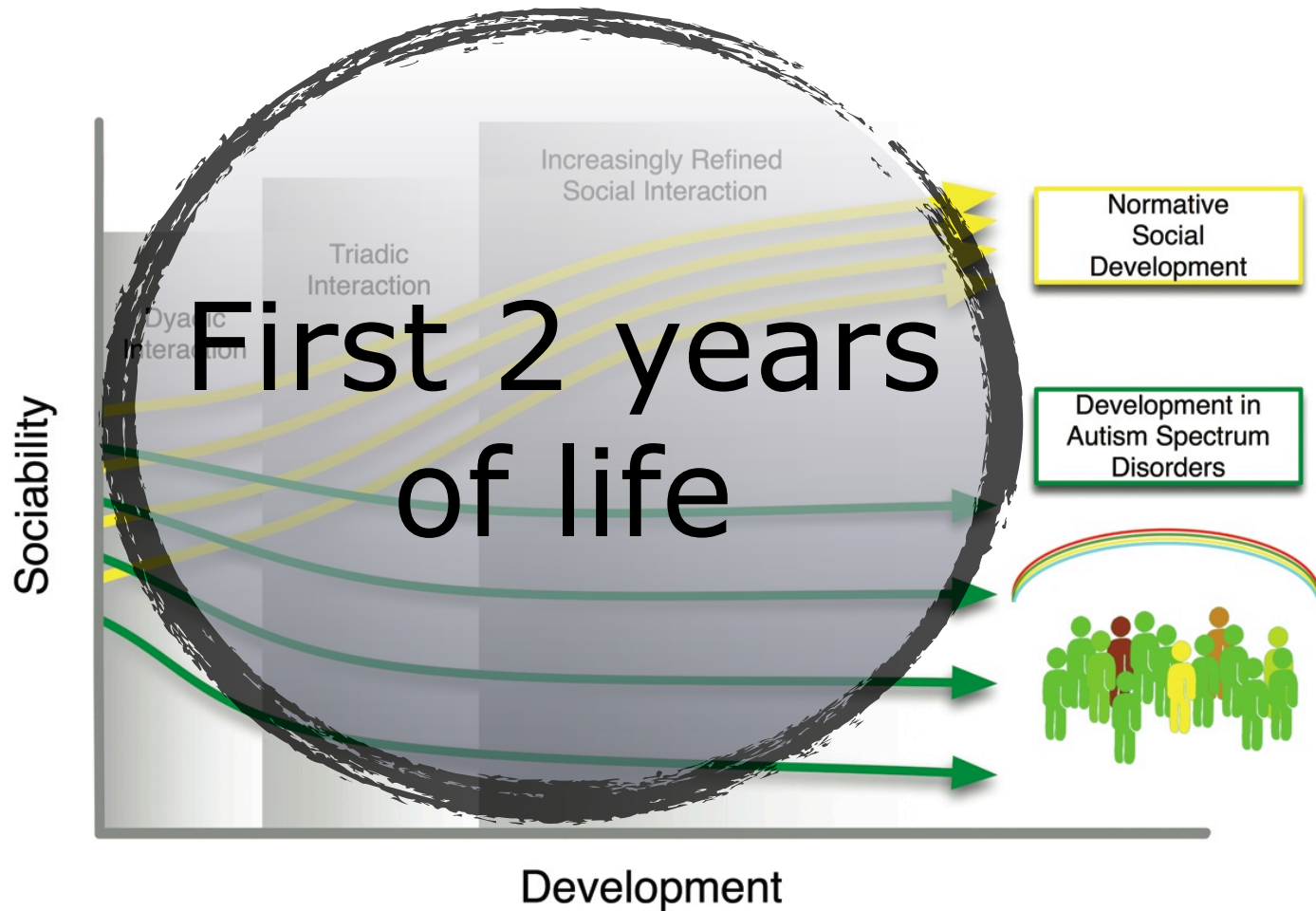
# The importance of early identification & treatment: altering the life course of children



GENETIC  
LIABILITY

MECHANISMS OF SOCIALIZATION

BEHAVIORAL  
SYMPTOMS



*No Genetic  
Determinism*

*No Brain  
Determinism*

JE LeDoux PhD

Jones et al. (2008). *Arch Gen Psy*, 65(8), 946-54; Klin et al. (2009). *Nature*, 459, 257-61; Jones & Klin (2009). *J Am Acad of Child Psy*, 48(5): 471-3; Jones & Klin (2013). *Nature*, 504, 427-431; Klin et al. (2014). *Neurosci Biobehav Rev*.

# Autism Disrupts the Platform for Brain Development



*Born to  
Socially Orient*



MH Johnson PhD

*Reciprocal  
Social Interaction*

*Neuroplasticity*

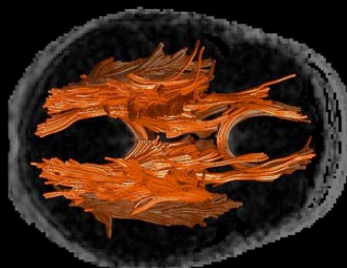


## WHITE MATTER DEVELOPMENT

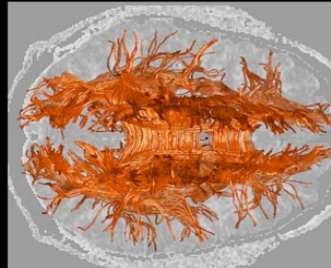
Preterm (6month)



Infant (4 weeks)



Adult (25 years)



H-J Park PhD

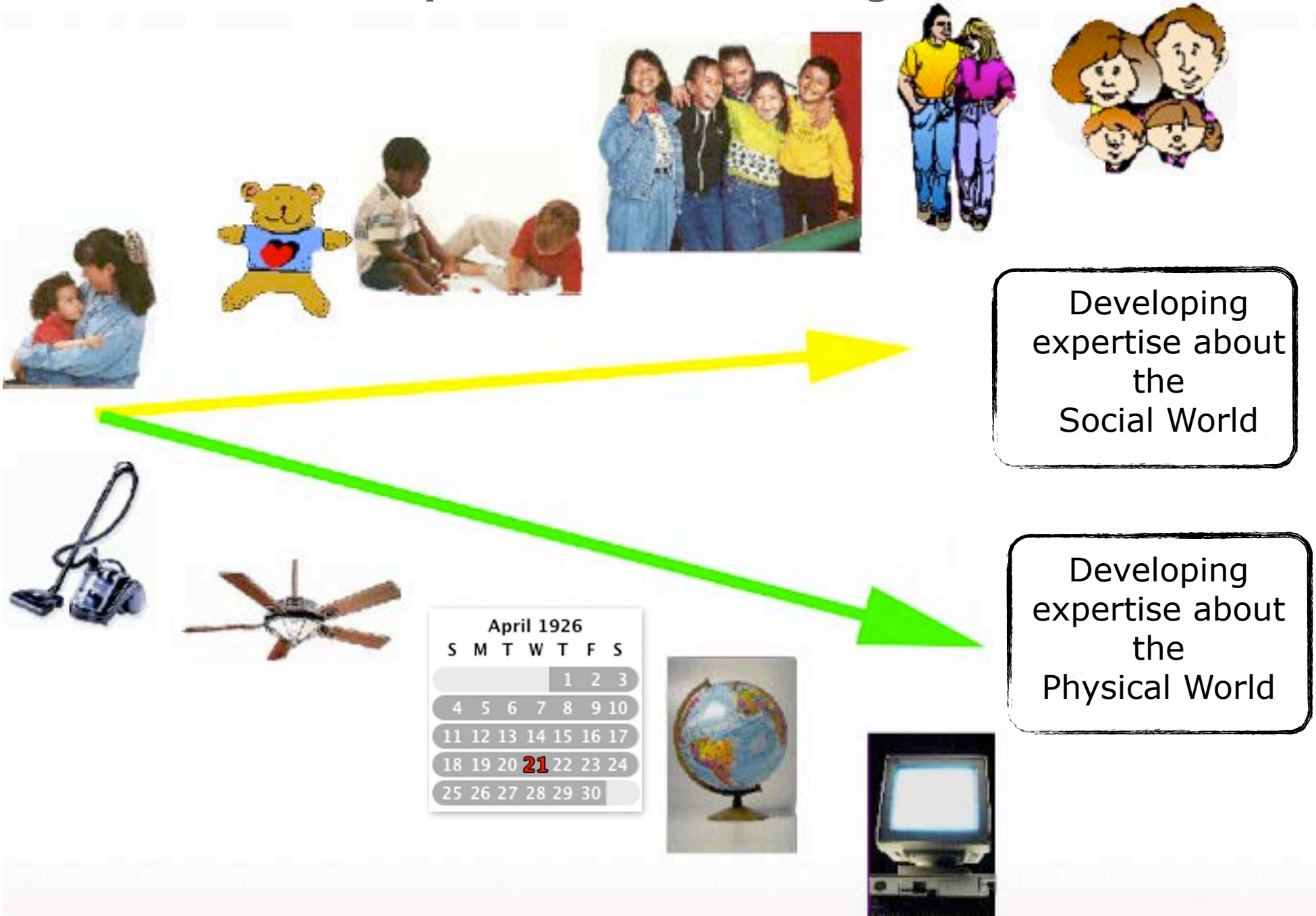


# Autism:

Unlike in typical development, predispositions to orient to, and engage with people are absent or significantly reduced.



# Developmental Trajectories



# Autism Disrupts the Platform for Brain Development



*Born to  
Socially Orient*



MH Johnson PhD

*Reciprocal  
Social Interaction*

*Neuroplasticity*

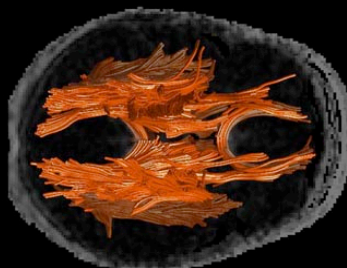


## WHITE MATTER DEVELOPMENT

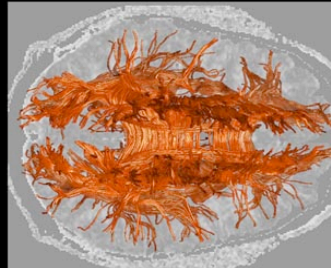
Preterm (6month)



Infant (4 weeks)



Adult (25 years)

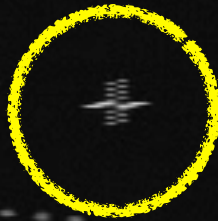
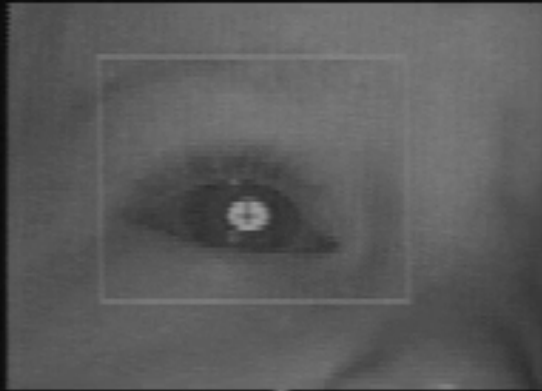


H-J Park PhD

# Toddlers



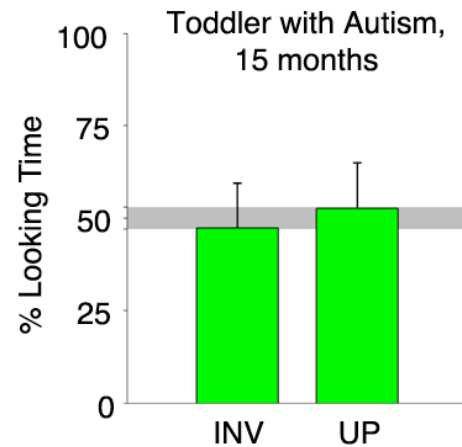
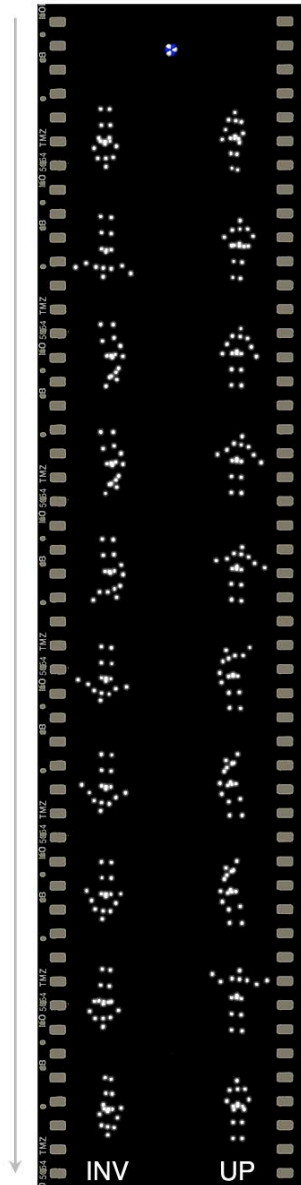
# Attention to Biological Motion



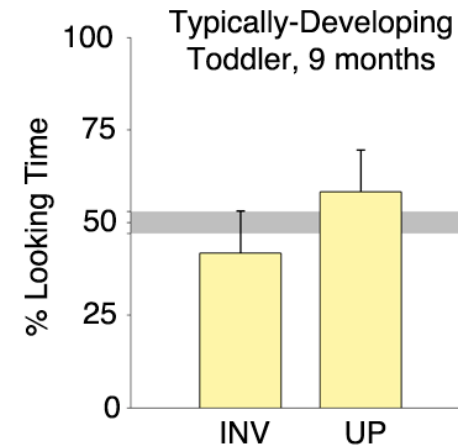
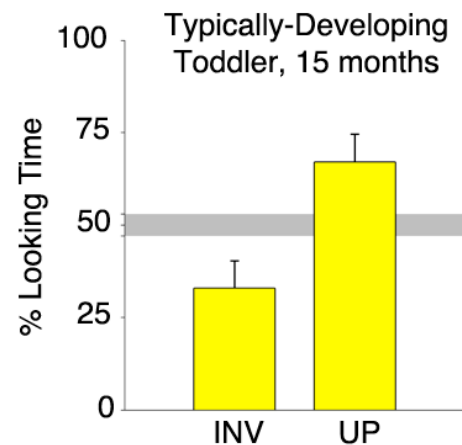
D:032 H:145 U:210

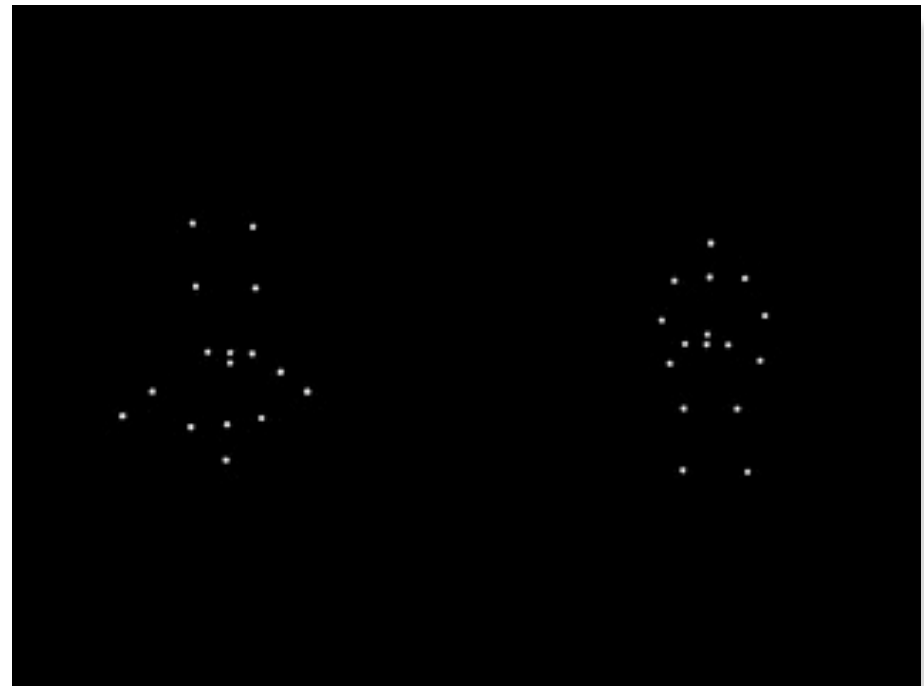
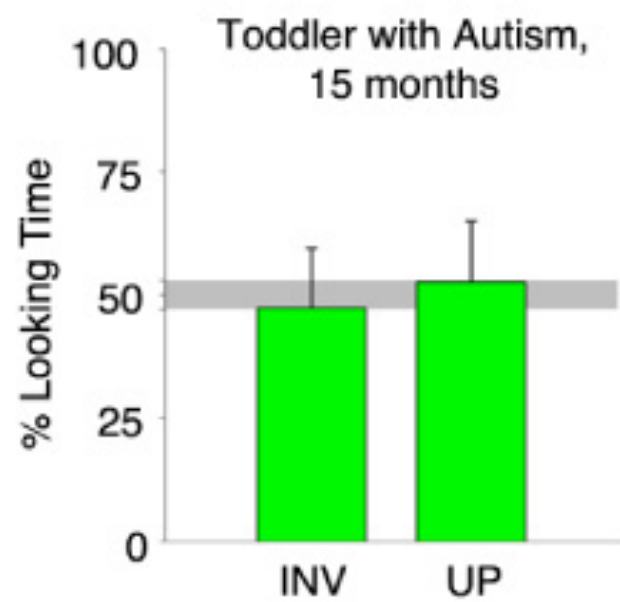
00:52:50:35

# Attention to Biological Motion



not significantly different from chance,  $p > .05$







clapping



D:056 H:329 U:280

22:17:00:15

## LETTERS

## Two-year-olds with autism orient to non-social contingencies rather than biological motion

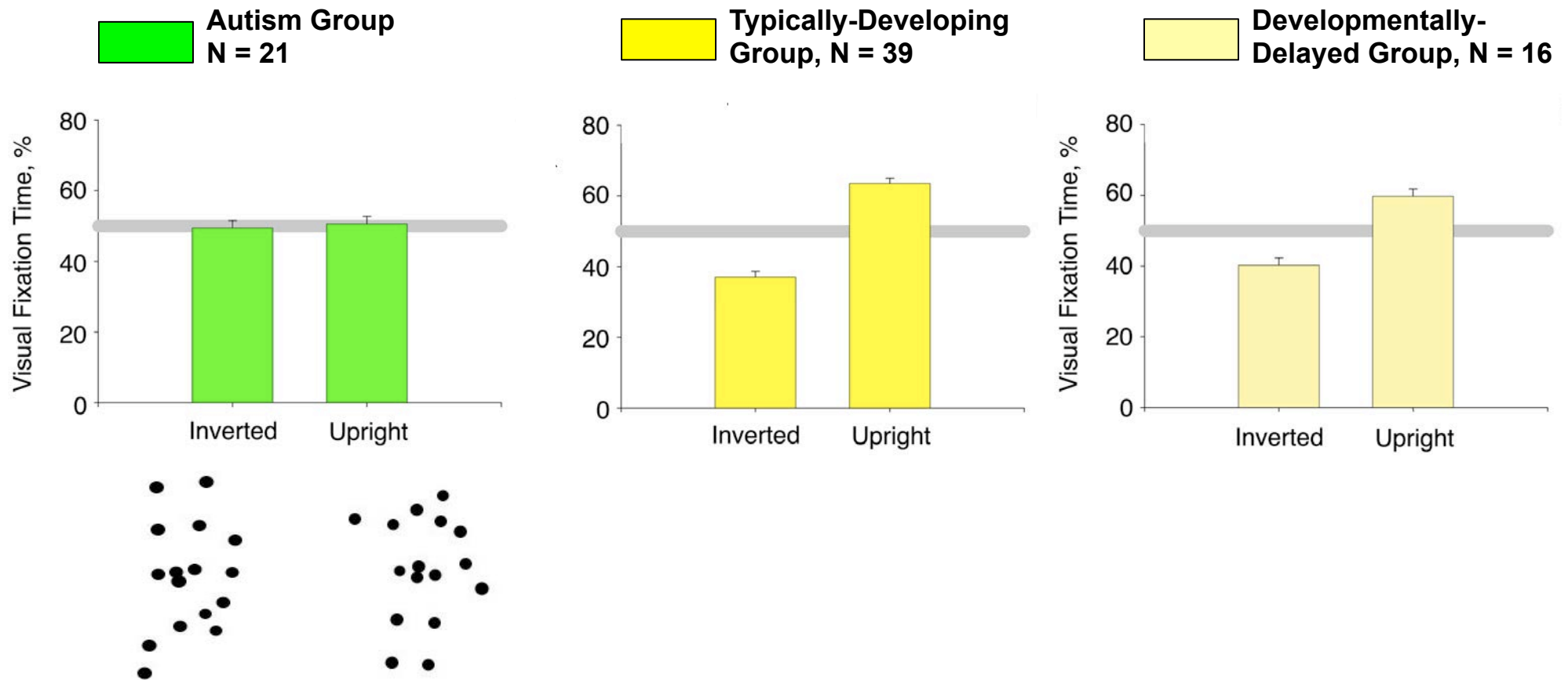
Ami Klin<sup>1</sup>, David J. Lin<sup>1†</sup>, Phillip Gorrindo<sup>1†</sup>, Gordon Ramsay<sup>1,2</sup> & Warren Jones<sup>1,3</sup>

Typically developing human infants preferentially attend to biological motion within the first days of life<sup>1</sup>. This ability is highly conserved across species<sup>2,3</sup> and is believed to be critical for filial attachment and for detection of predators<sup>4</sup>. The neural underpinnings of biological motion perception are overlapping with brain regions involved in perception of basic social signals such as facial expression and gaze direction<sup>5</sup>, and preferential attention to biological motion is seen as a precursor to the capacity for attributing intentions to others<sup>6</sup>. However, in a serendipitous observation<sup>7</sup>, we recently found that an infant with autism failed to recognize point-light displays of biological motion, but was instead highly sensitive to the presence of a non-social, physical

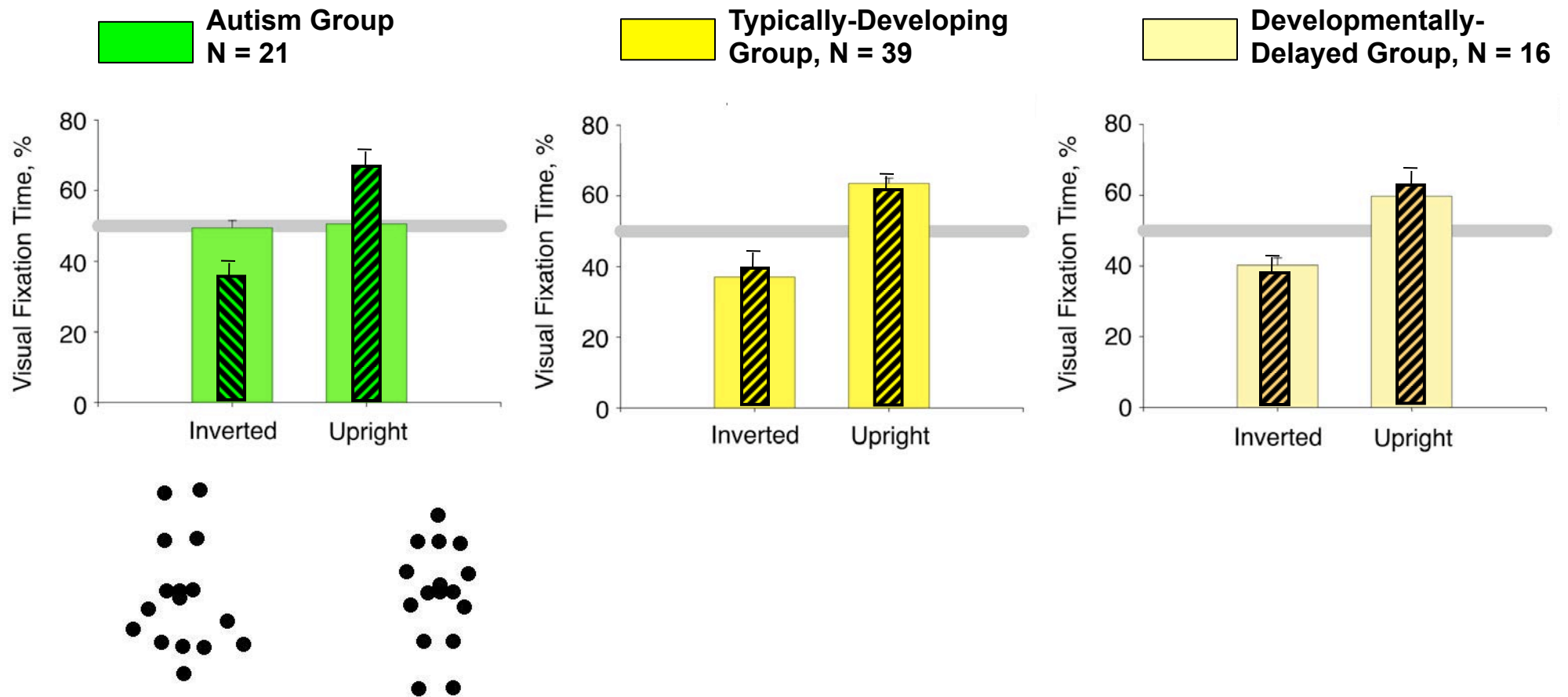
conspecific, looking at others to entreat or avoid interaction, learning by imitation, or directing preferential attention to cues that build on biological motion (such as facial expression and gaze direction<sup>5</sup>).

Notably, many of the same behaviours have also been shown as deficits in children with autism: deficits in social interaction, diminished eye contact and reduced looking at others, problems with imitation, deficits in recognizing facial expressions, and difficulties following another's gaze<sup>20</sup>. Autism is a lifelong, highly prevalent, and strongly genetic disorder defined by impairments in social and communicative functioning and by pronounced behavioural rigidities<sup>21</sup>. Although the preponderance of evidence points to prenatal factors instantiated in infancy, knowledge of the first two years of life in

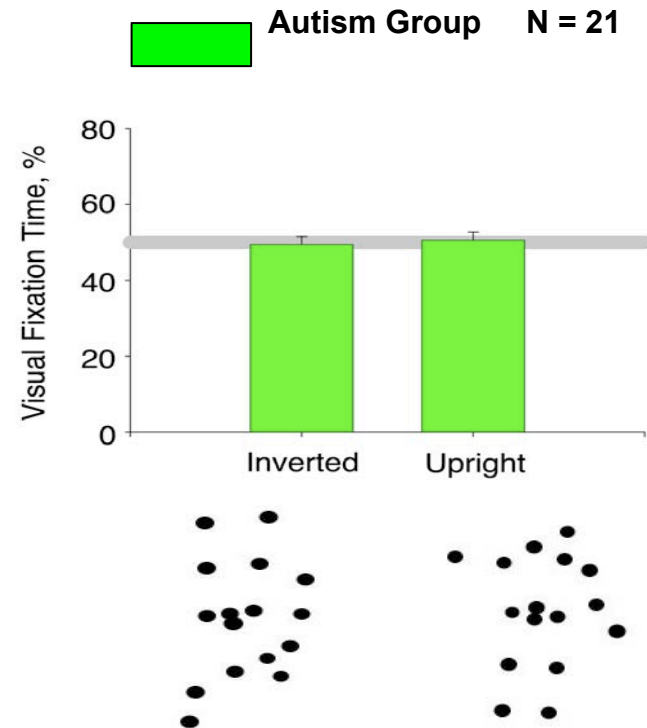
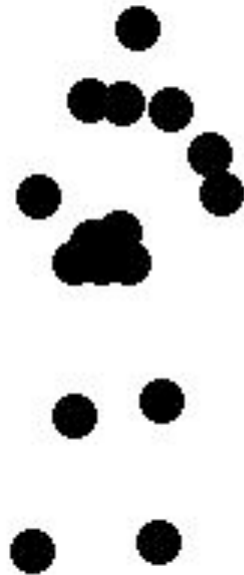
# *Two-year-olds with autism do not exhibit preferential attention to biological motion*



## *But during 'Pat-a-Cake'...*



# Exploring Audiovisual Synchrony



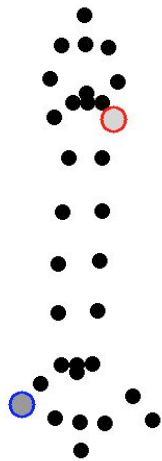
- A “pat-a-cake” finding led to the hypothesis that children’s visual behavior was being guided by physical, not social contingencies.



# Audiovisual Synchrony Quantification

Change in Motion \* Change in Sound = Audiovisual Synchrony

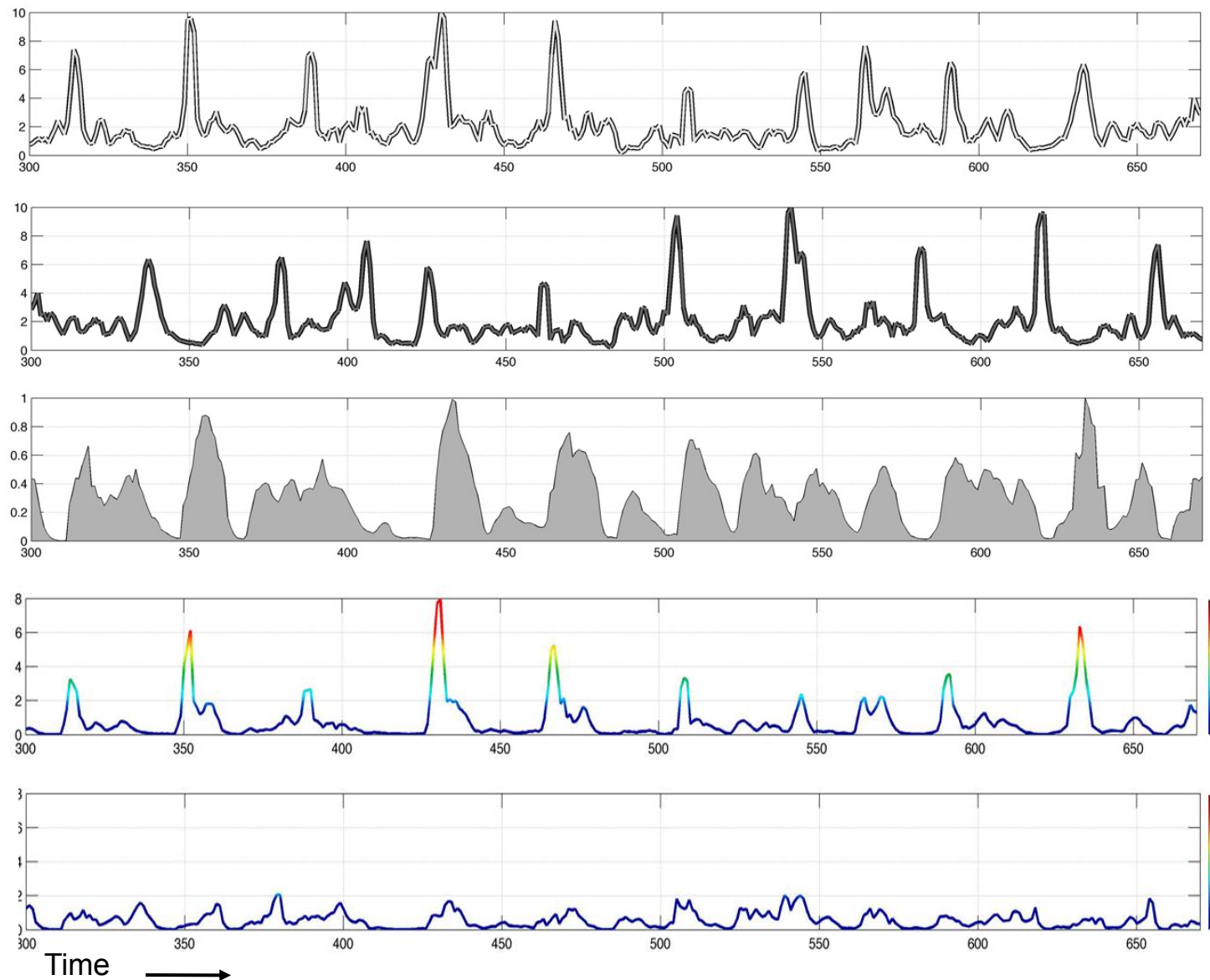
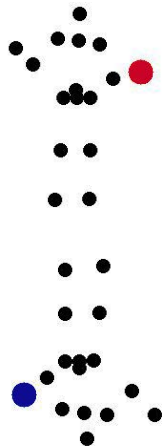
CHANGE IN  
MOTION



CHANGE IN  
SOUND



AUDIOVISUAL  
SYNCHRONY



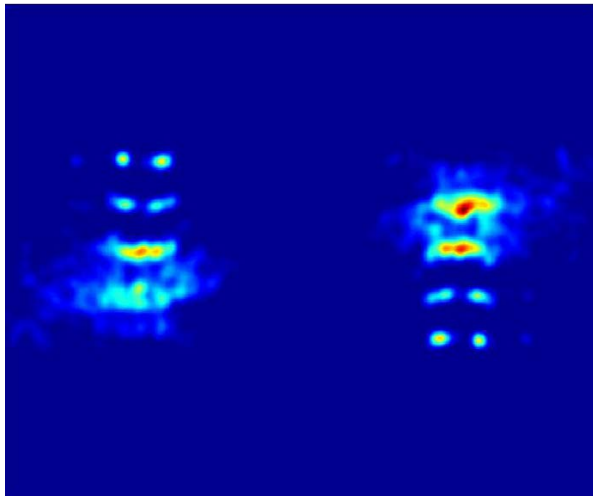


audiovisual synchrony, playback at 1/2 speed

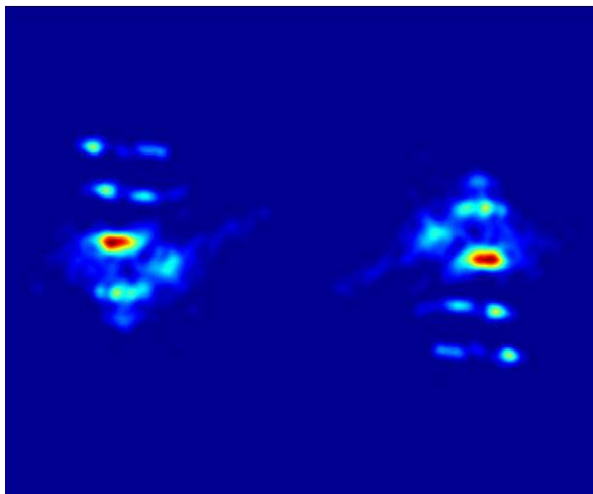


# Cumulative Audiovisual Synchrony in Point-Light Animations

Pat-a-cake

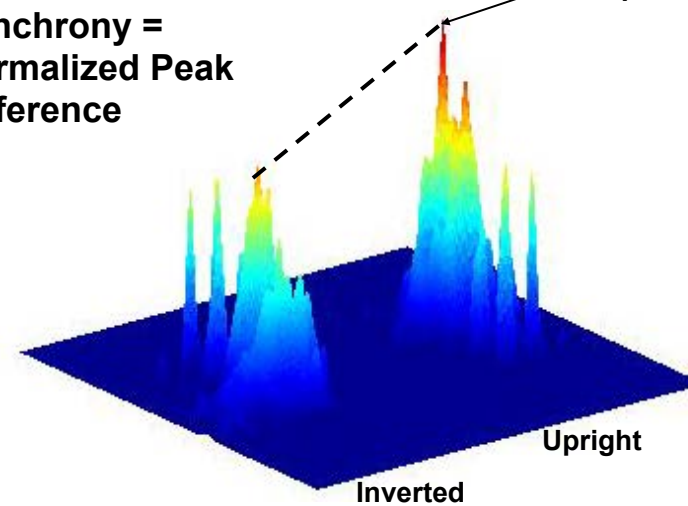


Feeding

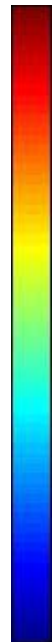


Relative Audio-Visual  
Synchrony =  
Normalized Peak  
Difference

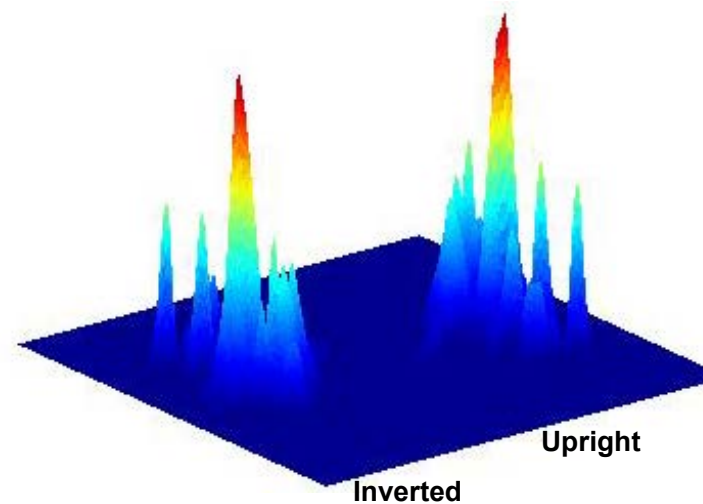
Clap Location

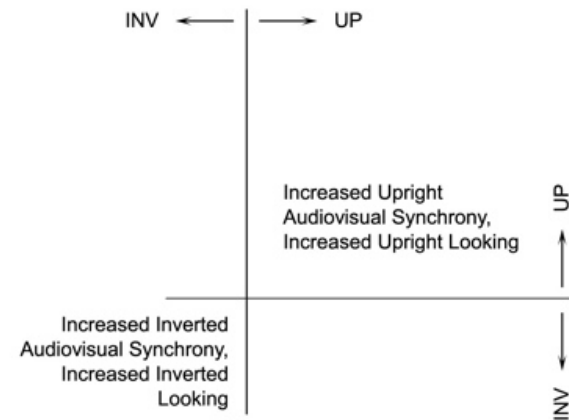
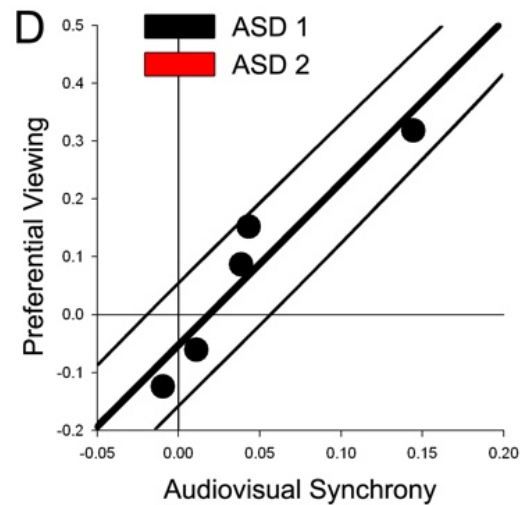
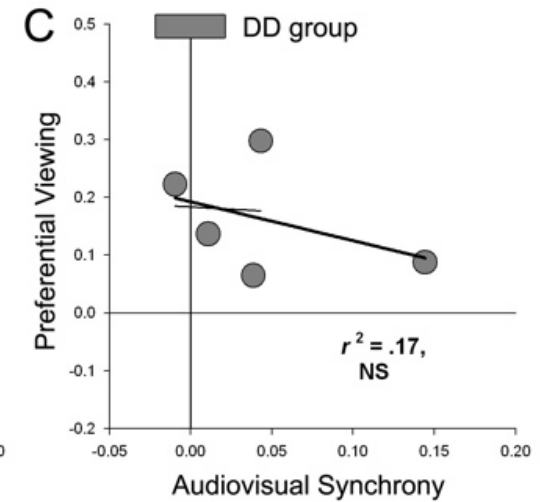
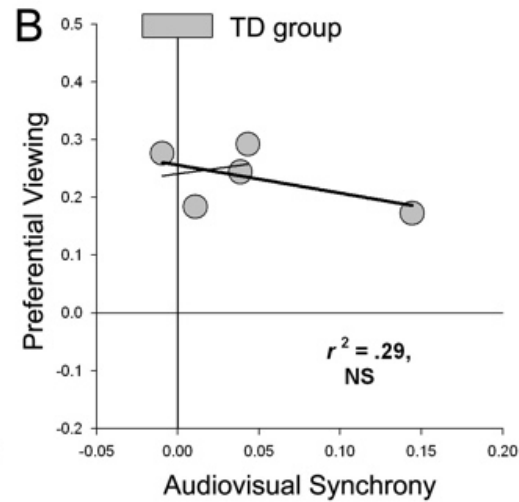
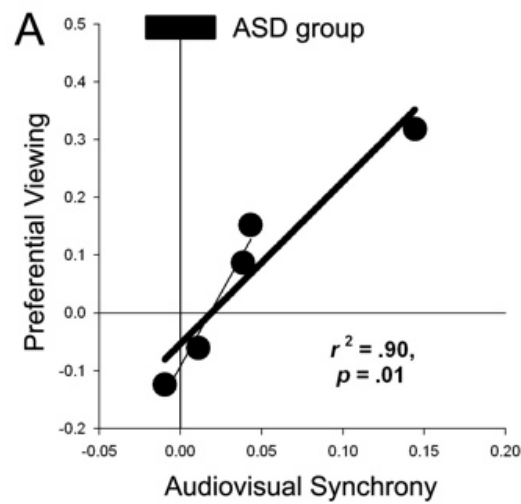


Max Synchrony



No Synchrony

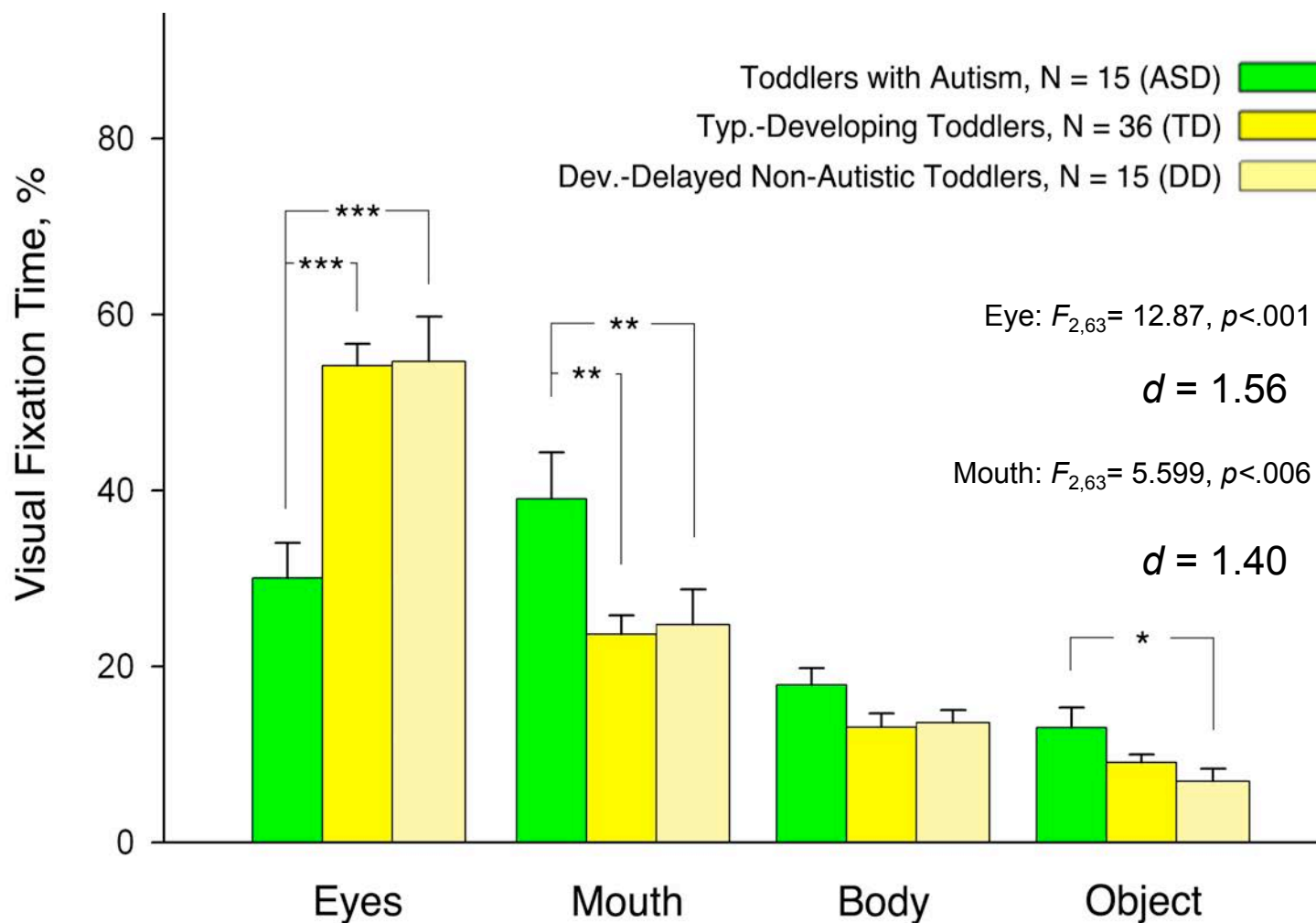


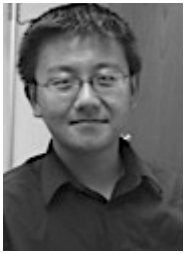


# *Patterns of visual fixation to approaching caregiver*



# How do 2-year-olds with autism watch the face of a caregiver?

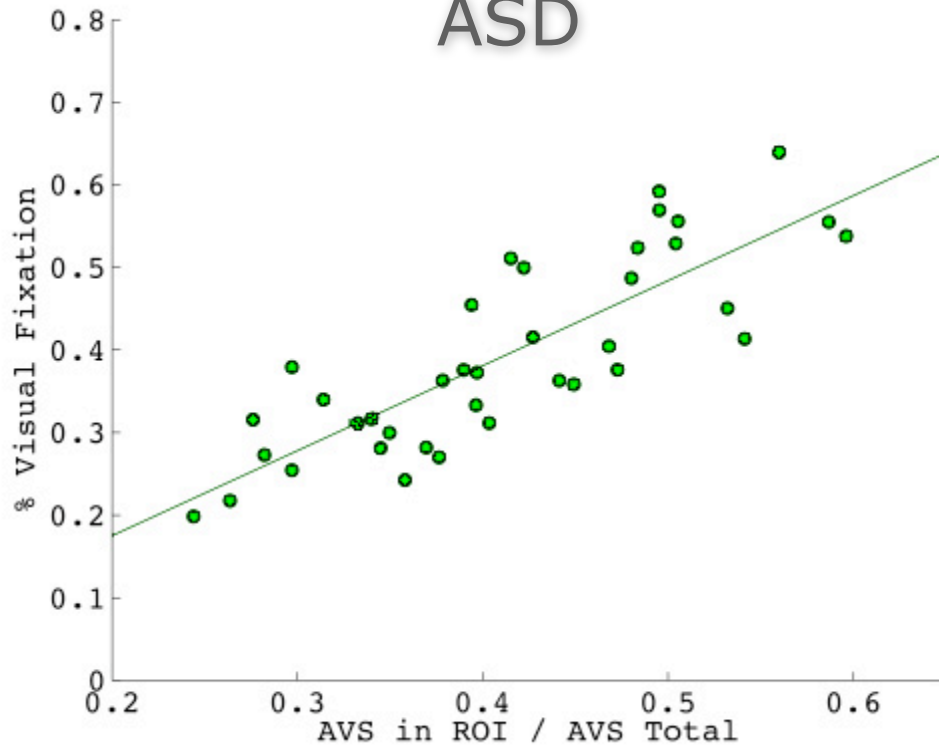




Jennings  
Xu

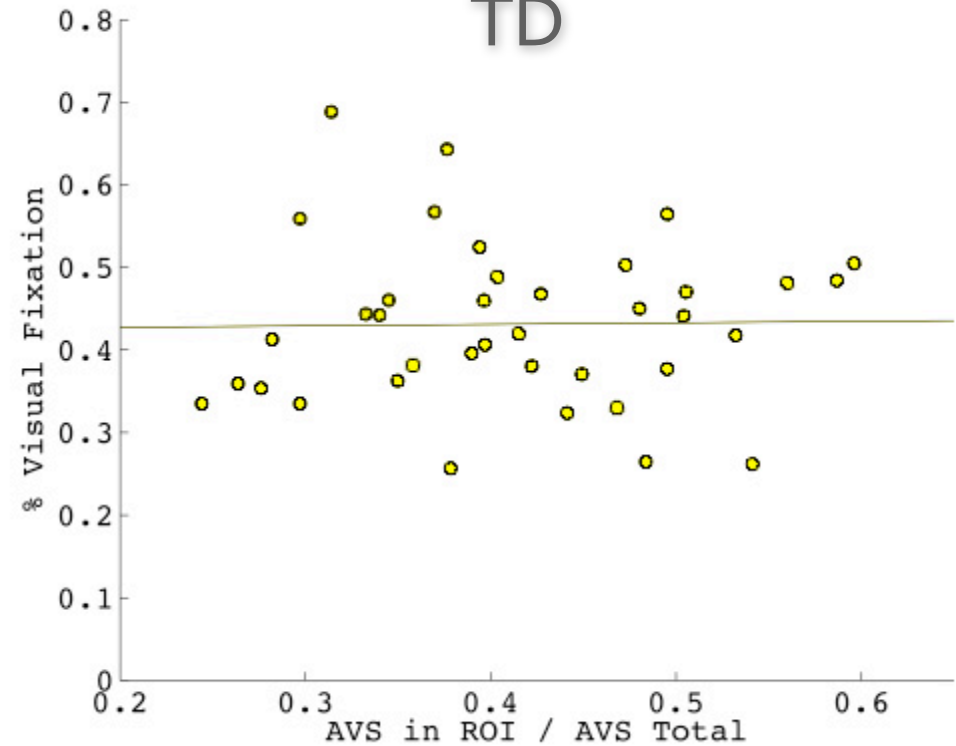
# Fixation on Mouth and Eyes as a Function of Audiovisual Synchrony

ASD



	R <sup>2</sup>	p
Eye	0.296	0.016
Mouth	0.302	0.015
Both	0.685	<1.5e-10

TD



	R <sup>2</sup>	p
Eye	0.111	0.164
Mouth	0.161	0.089
Both	0.0003	0.919

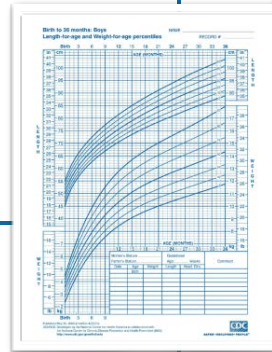
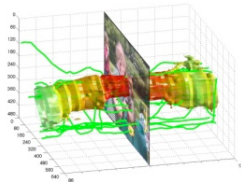
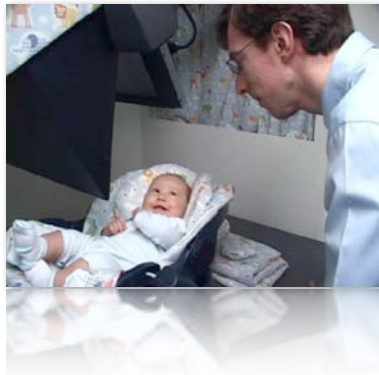
## A black and white photograph showing a woman's profile on the left, looking towards a baby on the right. The baby is looking directly at the camera. The woman's hair is dark and shoulder-length. The baby has dark hair and is looking directly at the camera with a neutral expression. The background is plain and light-colored.



# Marcus Autism Center, An NIH Autism Center of Excellence



## Social Visual Engagement in Infants (0 to 36 months)



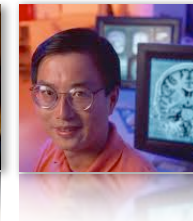
## Social Vocal Engagement in Infants (0 to 36 months)



## Treatment in Infants & Toddlers (beginning at 12 months)



## Social Visual Engagement & Brain Development in a Model System



EMORY

YERKES  
NATIONAL  
PRIMATE  
RESEARCH  
CENTER

Georgia  
Tech



## LETTER

doi:10.1038/nature12715

# Attention to eyes is present but in decline in 2–6-month-old infants later diagnosed with autism

Warren Jones<sup>1,2,3</sup> & Ami Klin<sup>1,2,3</sup>

Deficits in eye contact have been a hallmark of autism<sup>1,2</sup> since the condition's initial description<sup>3</sup>. They are cited widely as a diagnostic feature<sup>4</sup> and figure prominently in clinical instruments<sup>5</sup>; however, the early onset of these deficits has not been known. Here we show in a prospective longitudinal study that infants later diagnosed with autism spectrum disorders (ASDs) exhibit mean decline in eye fixation from 2 to 6 months of age, a pattern not observed in infants who do not develop ASD. These observations mark the earliest known indicators of social disability in infancy, but also falsify a prior hypothesis: in the first months of life, this basic mechanism of social adaptive action—eye looking—is not immediately diminished in infants later diagnosed with ASD; instead, eye looking appears to begin at normative levels prior to decline. The timing of decline highlights a narrow developmental window and reveals the early derailment of processes that would otherwise have a key role in canalizing typical social development. Finally, the observation of this decline in eye fixation—rather than outright absence—offers a promising opportunity for early intervention that could build on the apparent preservation of mechanisms subserving reflexive initial orientation towards the eyes.

Autism Spectrum Disorders (ASDs) affect approximately 1 in every 88 individuals<sup>6</sup>. These disorders are lifelong, believed to be congenital, and are among the most highly heritable of psychiatric conditions<sup>7</sup>. However, the genetic heterogeneity of ASD—with estimates suggesting

Data were collected at 10 time points: at months 2, 3, 4, 5, 6, 9, 12, 15, 18 and 24. We studied 110 infants, enrolled as risk-based cohorts:  $n = 59$  at high-risk for ASD (full siblings of a child with ASD<sup>19</sup>) and  $n = 51$  at low-risk (without first-, second- or third-degree relatives with ASD). Diagnostic status was ascertained at 36 months. For details on study design, clinical characterization of participants, and experimental procedures, see Methods and Supplementary Information.

Of the high-risk infants, 12 met criteria for ASD<sup>20</sup> (10 males, 2 females), indicating a conversion rate of 20.3%<sup>19</sup>. One child from the low-risk cohort was also diagnosed with ASD. Given the small number of girls in the ASD group, we constrained current analyses to males only, 11 ASD (10 from the high-risk cohort and 1 from the low-risk), and 25 typically developing (all from the low-risk cohort).

At each testing session, infants viewed scenes of naturalistic caregiver interaction (Fig. 1a, b) while their visual scanning was measured with eye-tracking equipment. The 36 typically developing and ASD children viewed 2,384 trials of video scenes.

Control comparisons tested for between-group differences in attention to task and completion of procedures. There were no between-group differences in duration of data collected per child (typically developing = 71.25 (27.66) min, ASD = 64.16 (30.77) min, data given as mean (standard deviation), with  $t_{34} = 0.685$ ,  $P = 0.498$ ; two-sample  $t$ -test with 34 degrees of freedom, equal variances); or in the distribution of ages at which successful data collection occurred ( $k = 0.0759$ ,  $n = 0.0556$  for typical;  $k = 0.0556$  for ASD; Supplementary Collection summary).

# The New York Times

MINI | NOVEMBER 6, 2013, 1:05 PM | 241 Comments

## Baby's Gaze May Signal Autism, a Study Finds

By PAM BELLUCK



In the eye-tracking lab at Marcus Autism Center, researchers are tracking a baby's eye movements on a video. Kay Hinton/Emory University

Updated, 1:11 a.m. | When and how long a baby looks at other people's eyes offers the earliest behavioral sign to date of whether a child is likely to develop autism, scientists are reporting.

In a study published Wednesday, researchers using eye-tracking technology found that children who were found to have autism at age 3 looked less at people's eyes when they were babies than children who did not develop autism. But contrary to what the researchers expected, the



# Los Angeles Times



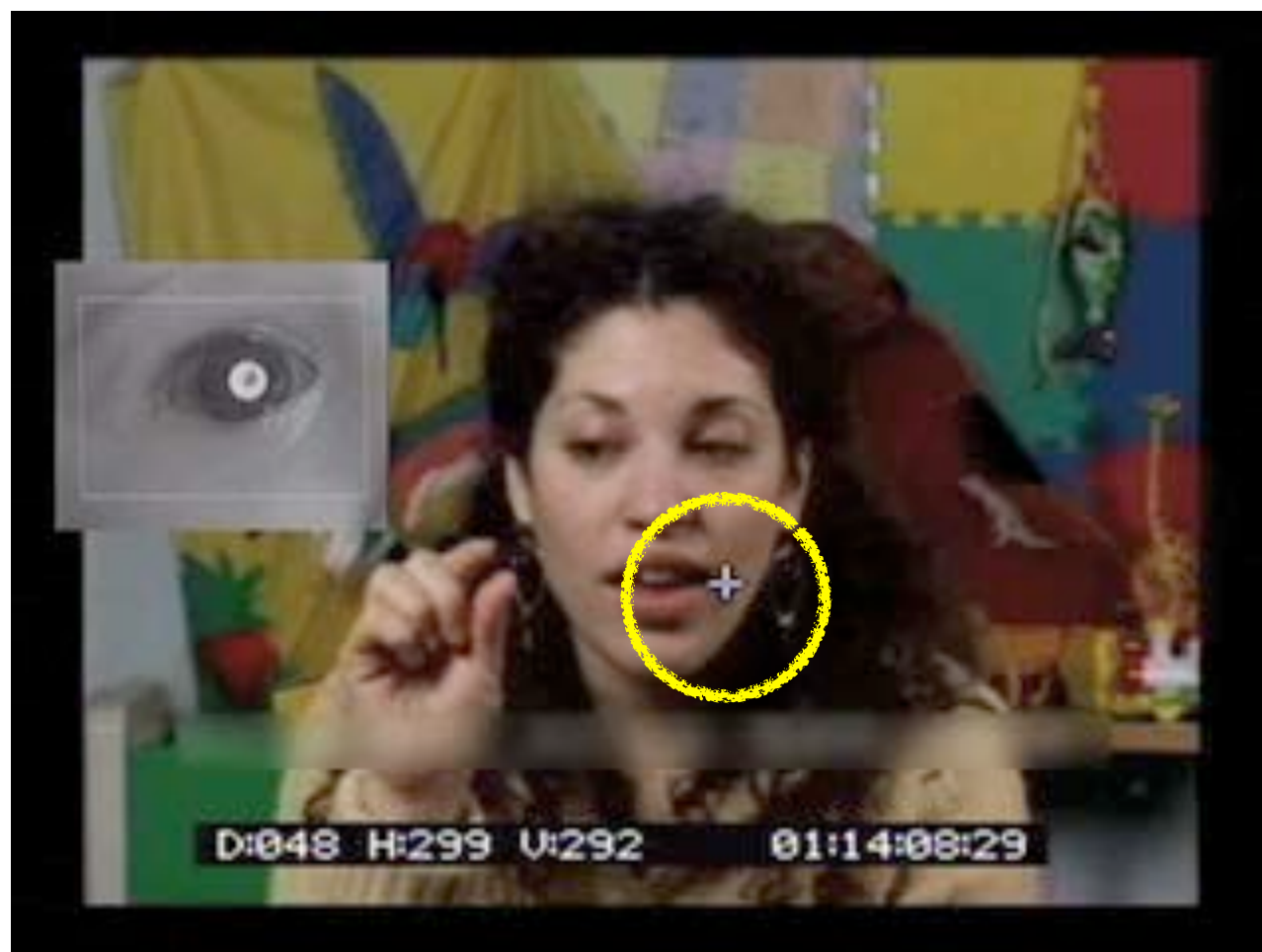
## Autism signs 'present in first months' of life

By Helen Briggs  
BBC News

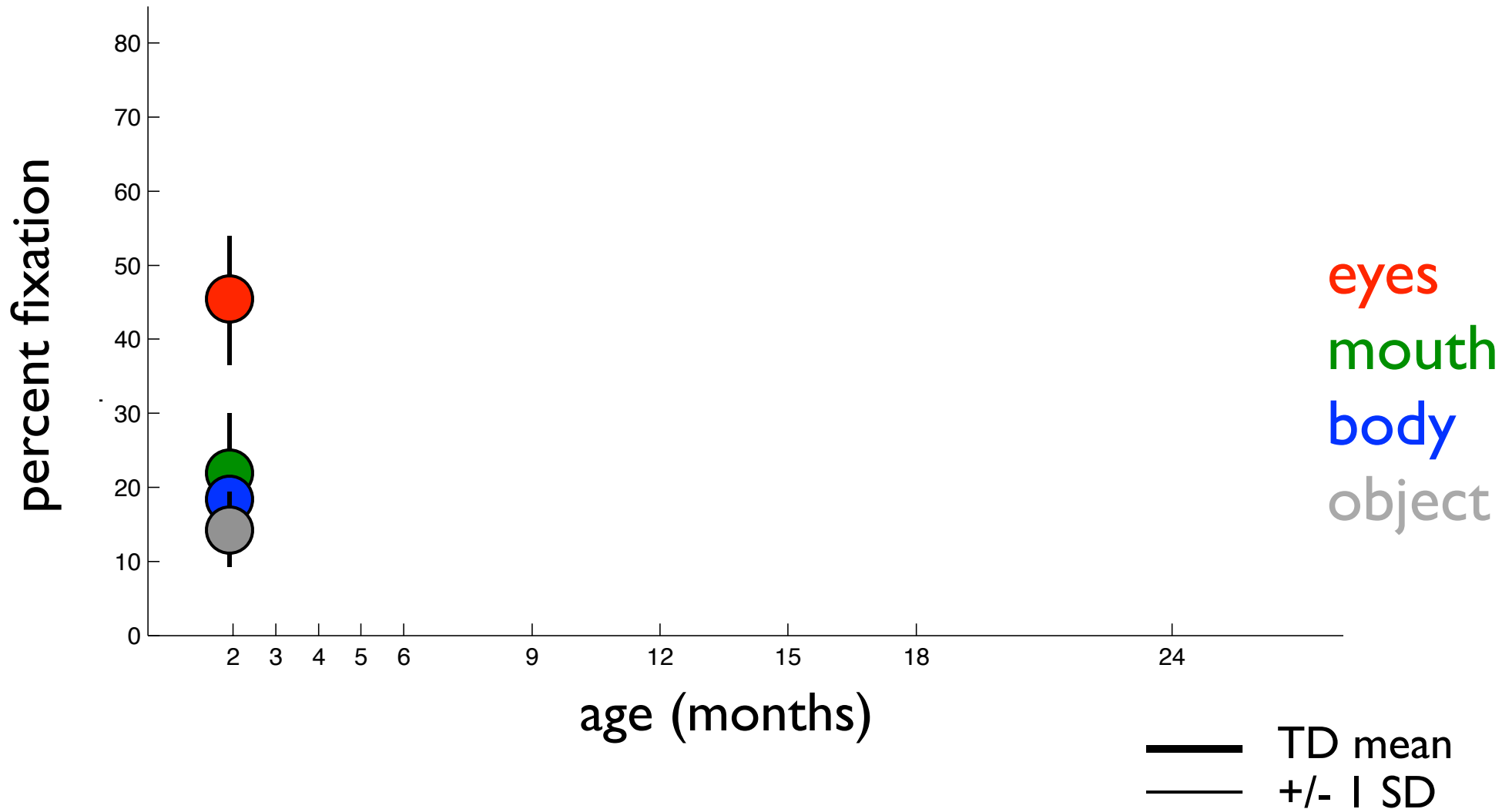


# Infants



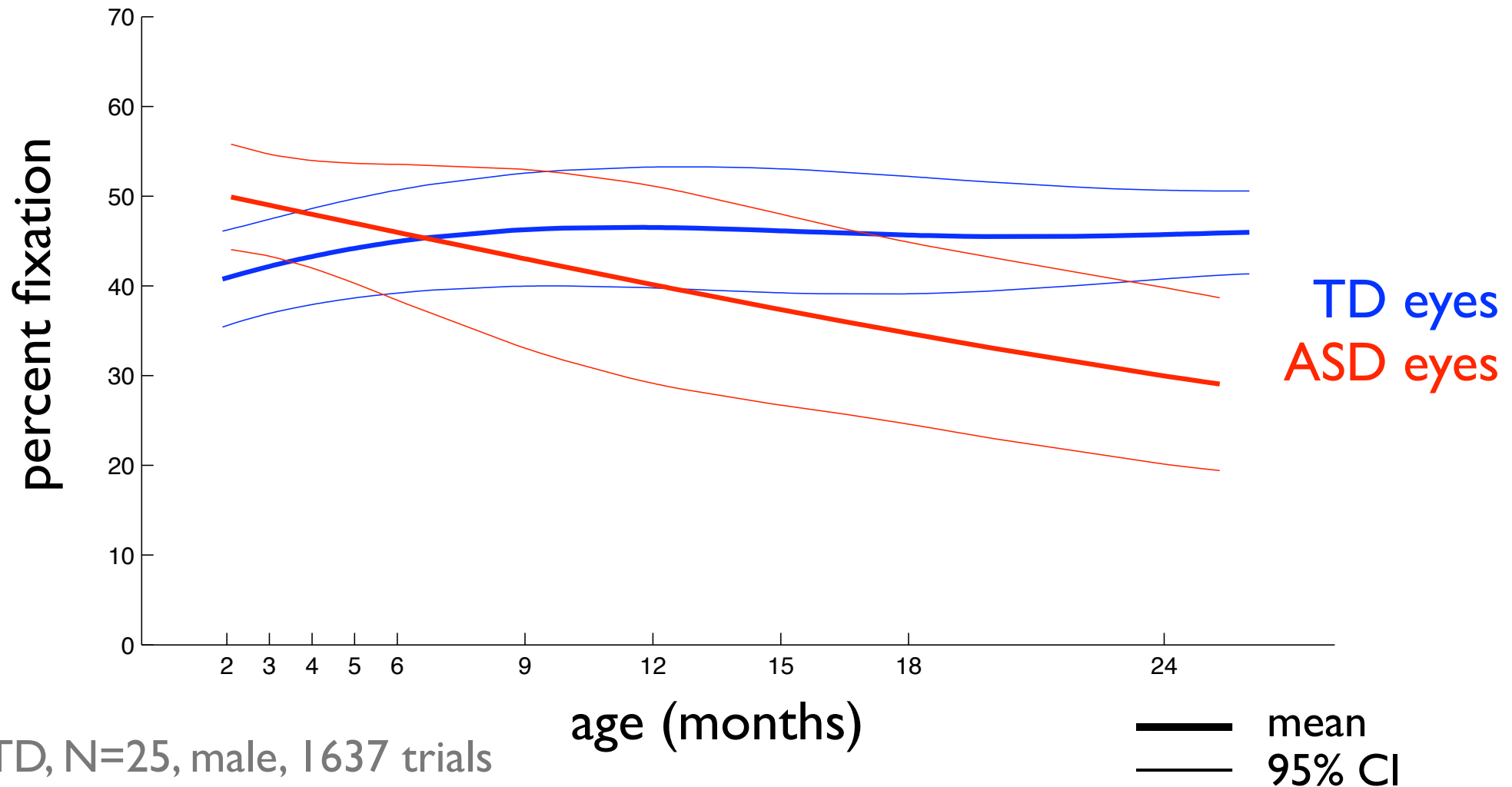


# Growth Charts of Social Visual Engagement (Typically-Developing Children)



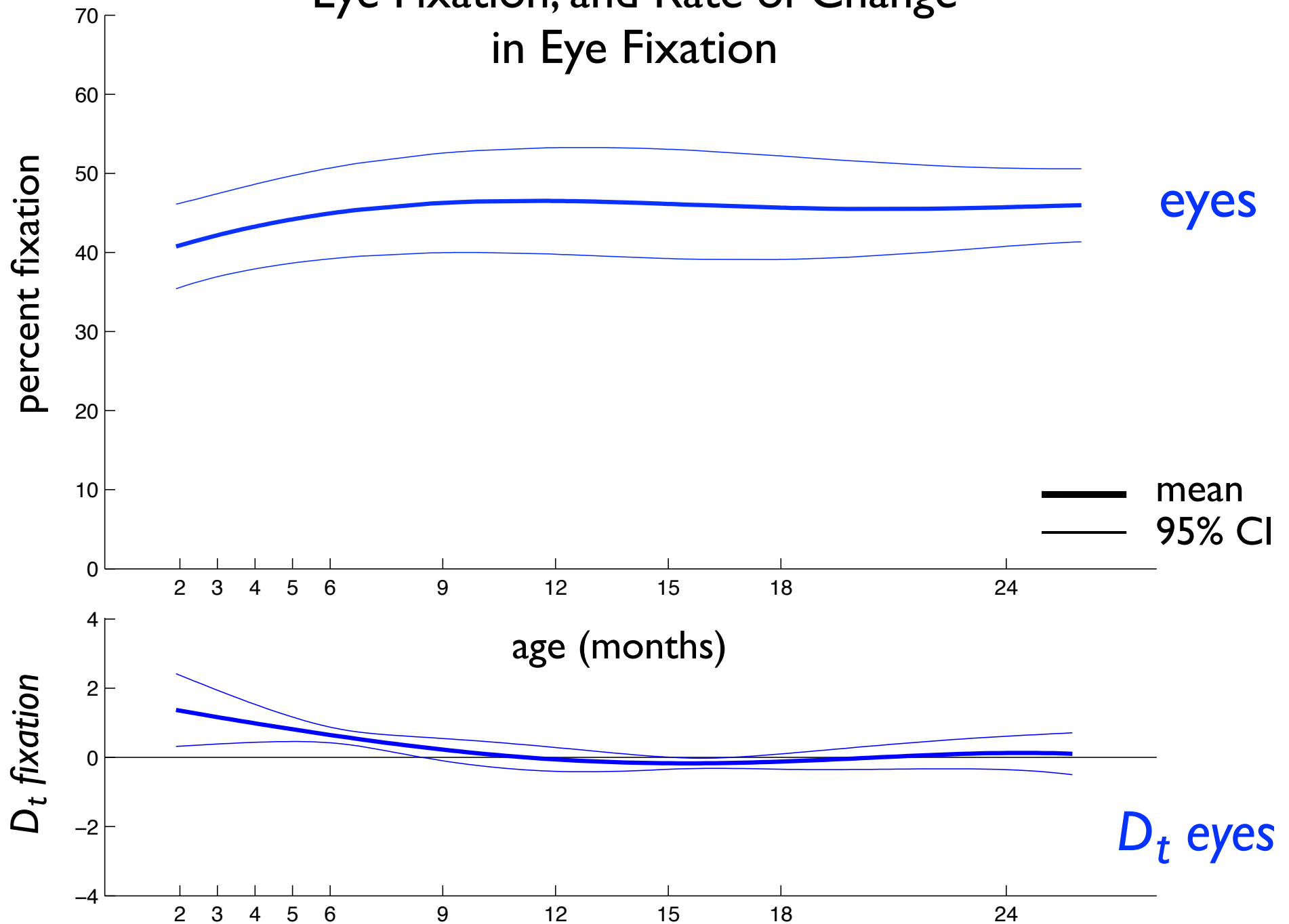
# Eye Fixation

## Children with ASD relative to Typically-Developing Norms

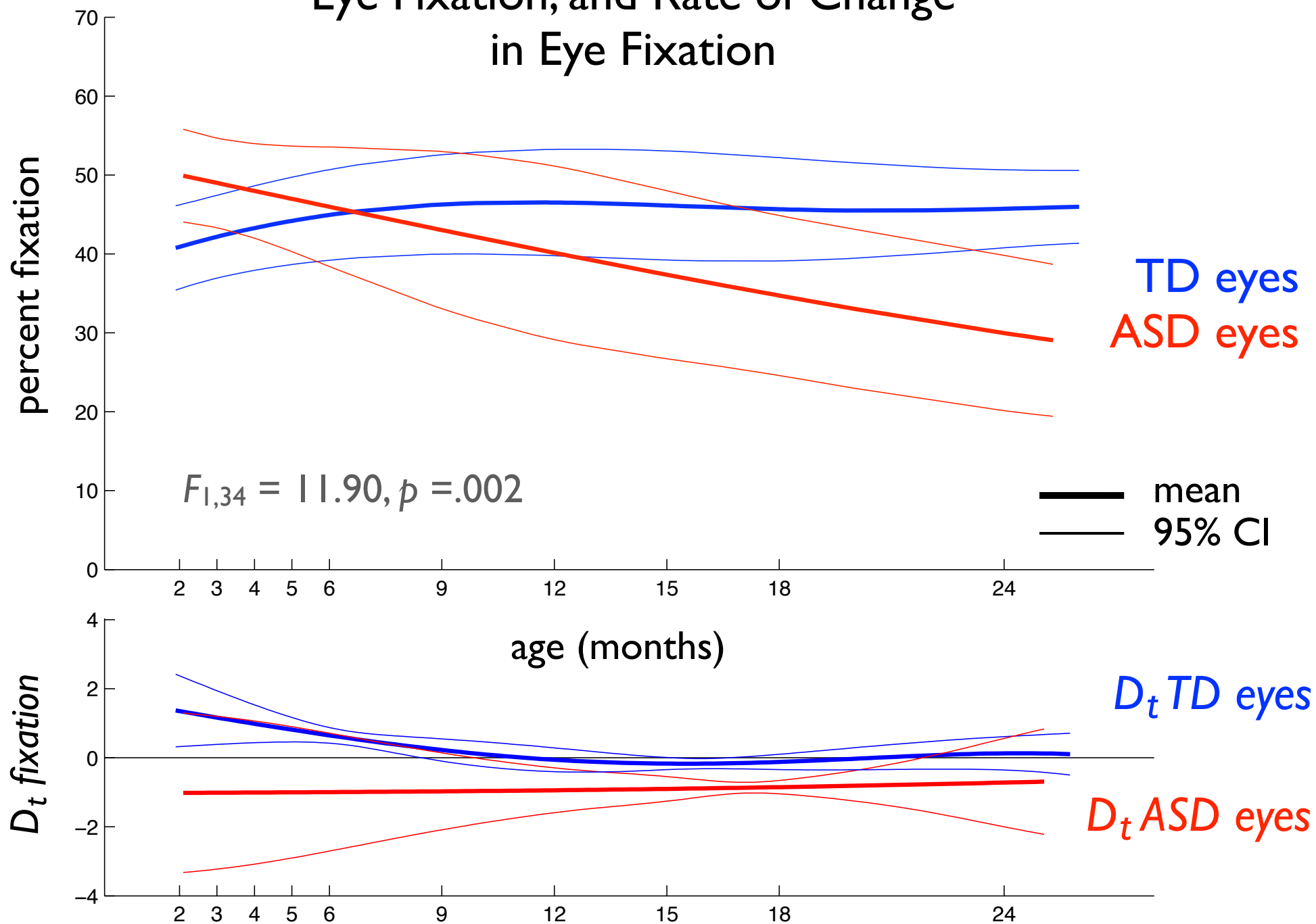


TD, N=25, male, 1637 trials  
ASD, N=11, male, 747 trials

# Eye Fixation, and Rate of Change in Eye Fixation

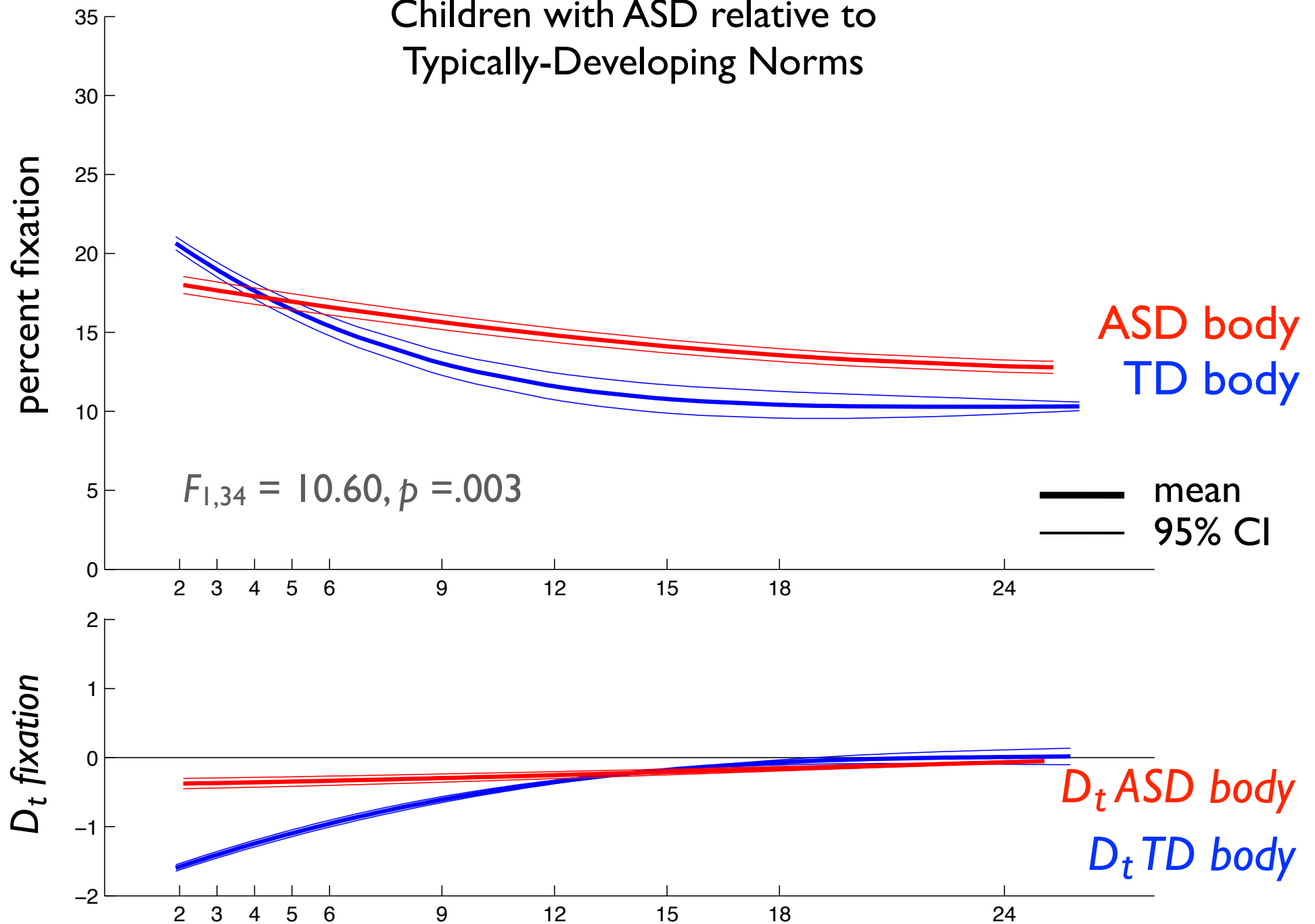


# Eye Fixation, and Rate of Change in Eye Fixation



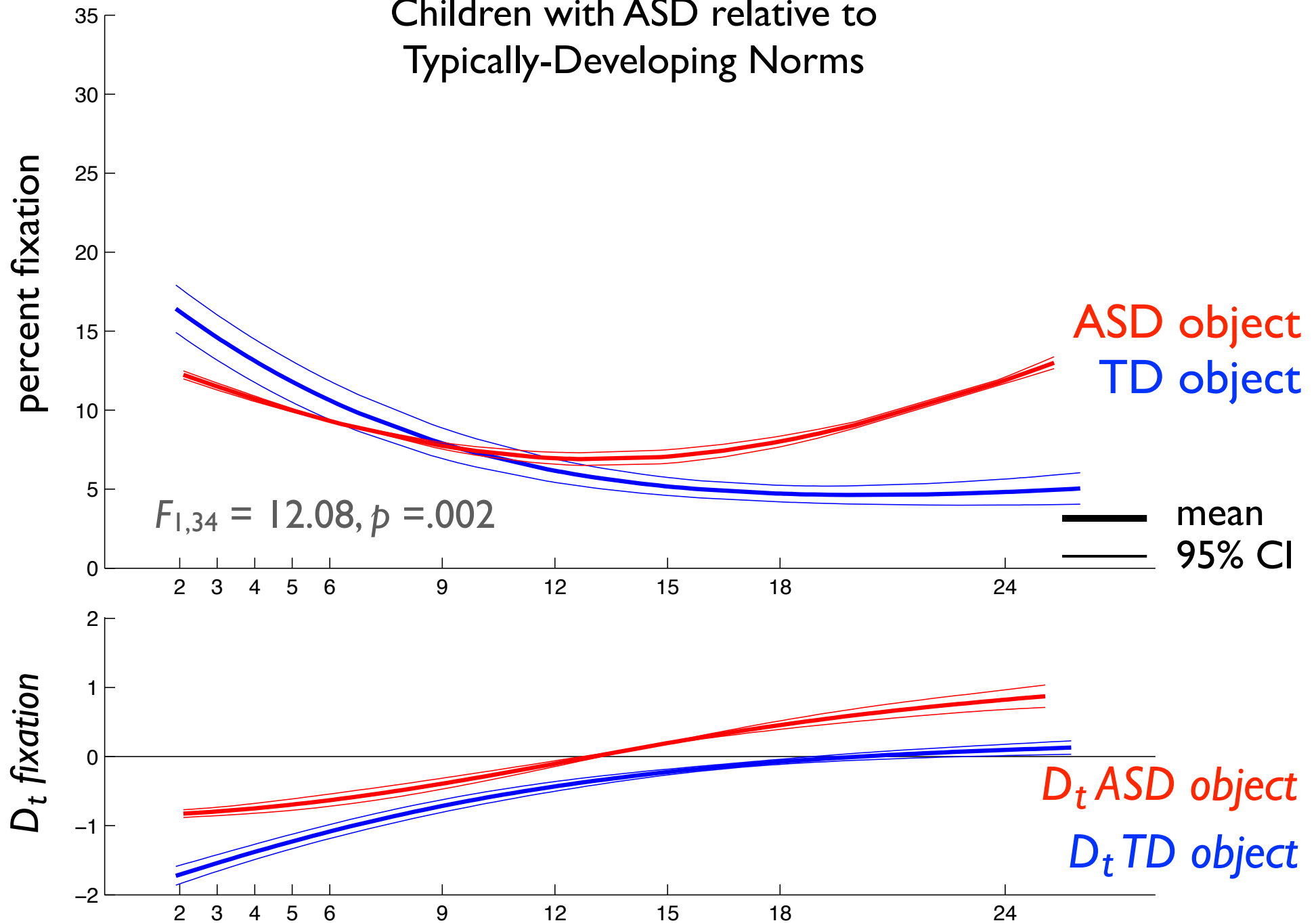
# Body Fixation

## Children with ASD relative to Typically-Developing Norms

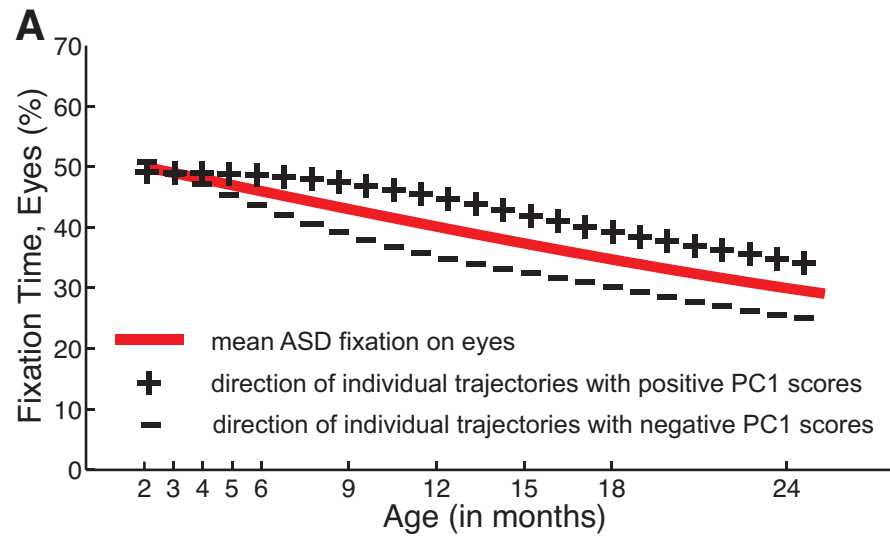


# Object Fixation

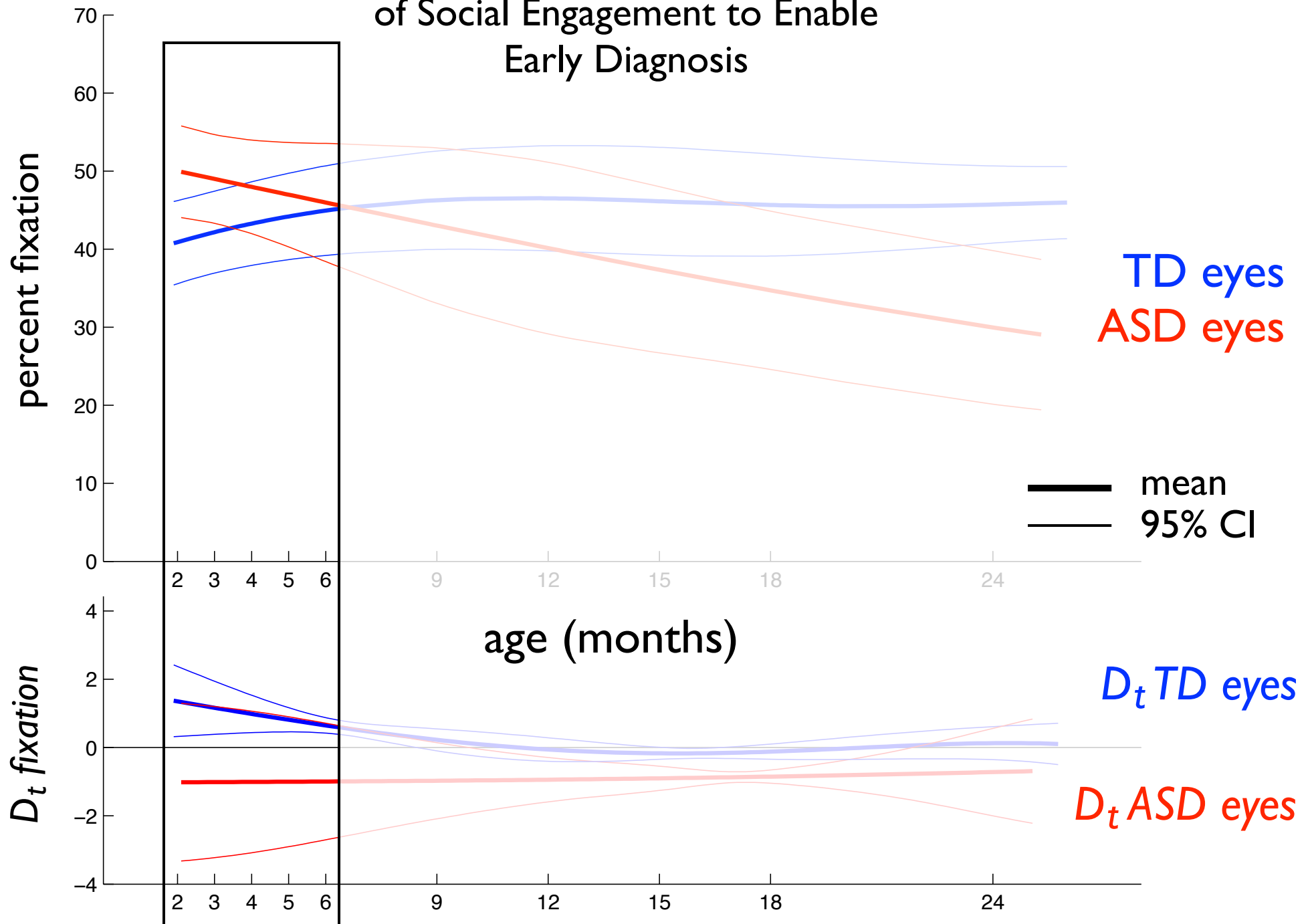
## Children with ASD relative to Typically-Developing Norms



# Decline in Eye Fixation Predicts Severity of Outcome

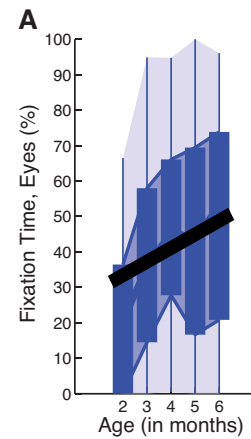


# Growth Charts of Social Engagement to Enable Early Diagnosis



# Differences Present within the First 6 Months of Life

eyes



body

# Internal Validation

eyes

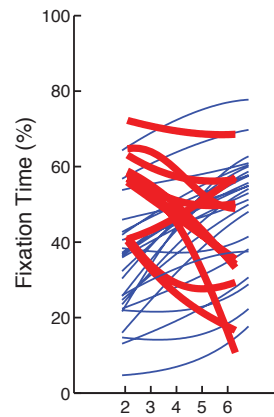
body

Known Dx

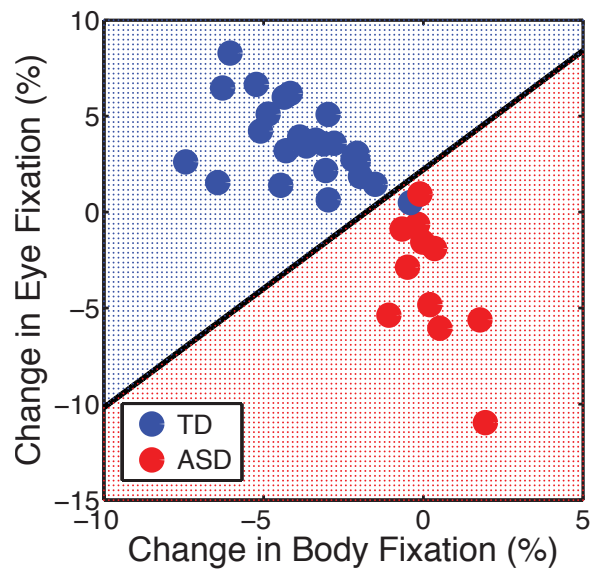
LOOCV

Known Dx

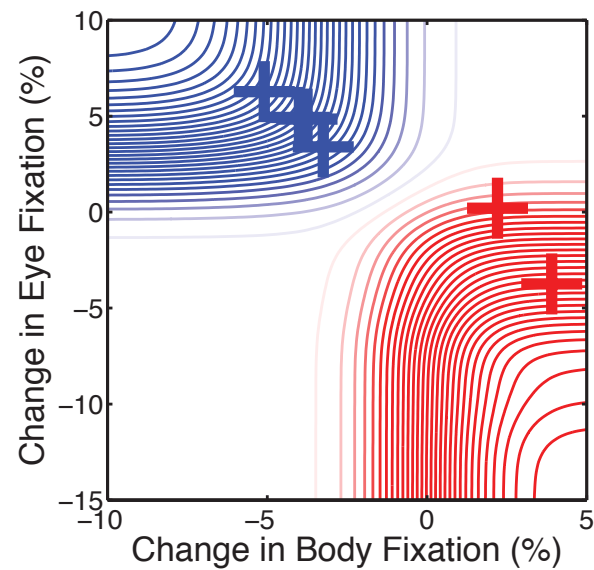
LOOCV



# External Validation



6 Independent  
Test Cases



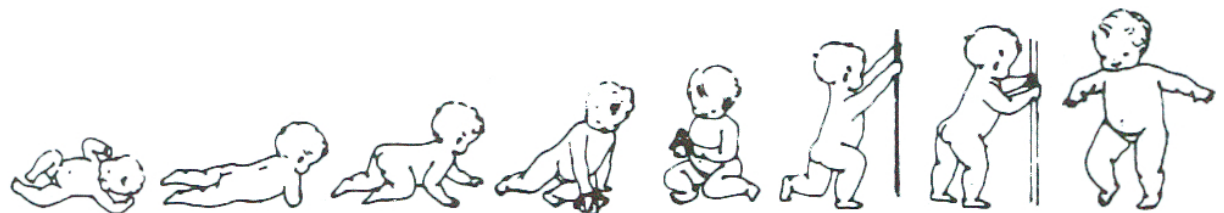
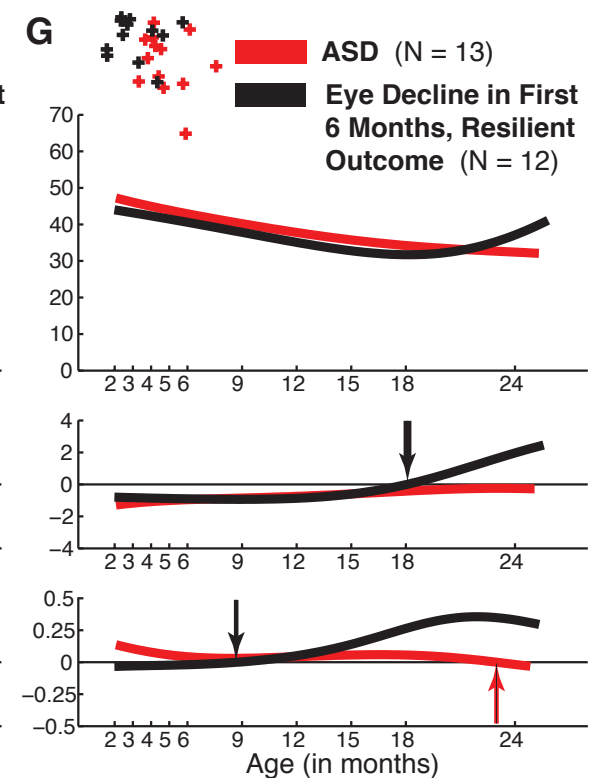
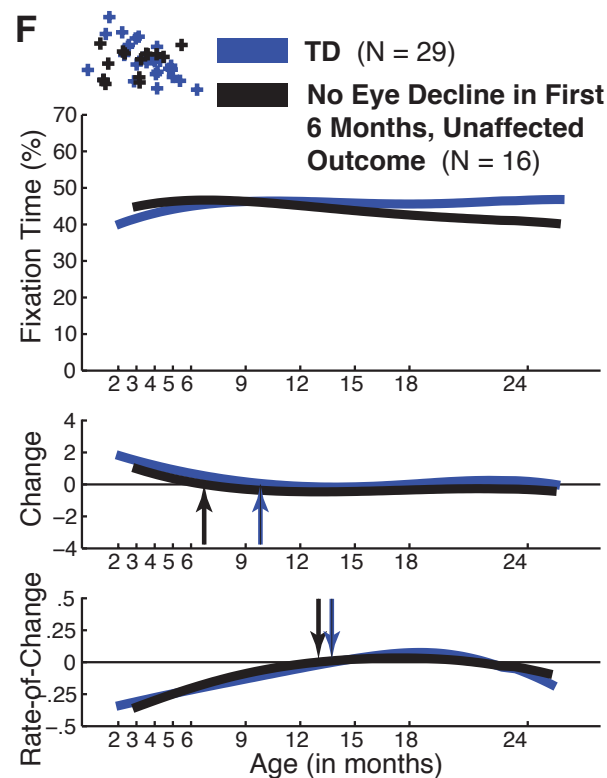
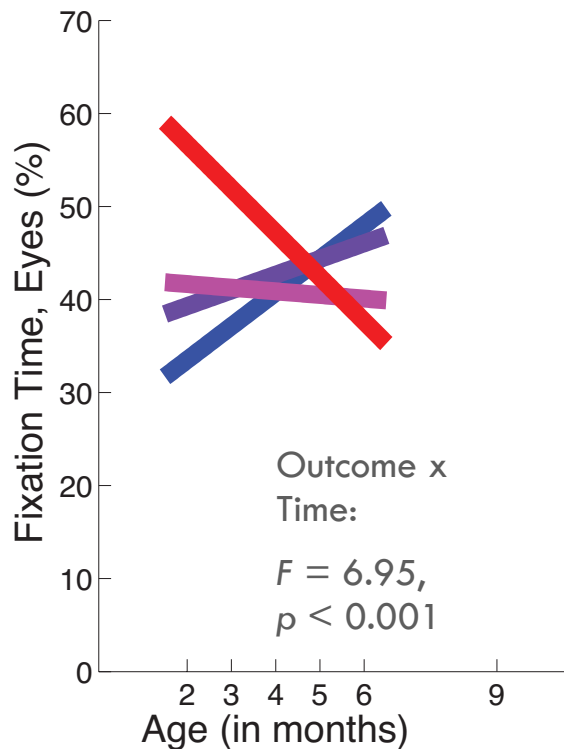
# Translational Opportunities

---



- High-throughput, low-cost, deployment of universal screening in the community
- Early detection, early intervention, optimal outcome
- Prevention or attenuation of intellectual disability in ASD

# Developmental Instantiation of a Spectrum of Social Disability: A GLIMPSE INTO SIBLING RESILIENCE (eye fixation)



# New Scientific Hypotheses

---



- Genetics: gene expression and methylation studies
- Gene x Environment: alleles more plastic to environmental influences?
- Targeting onset of treatment at these “INFLECTIONABLE” points?
- WILLIAMS SYNDROME

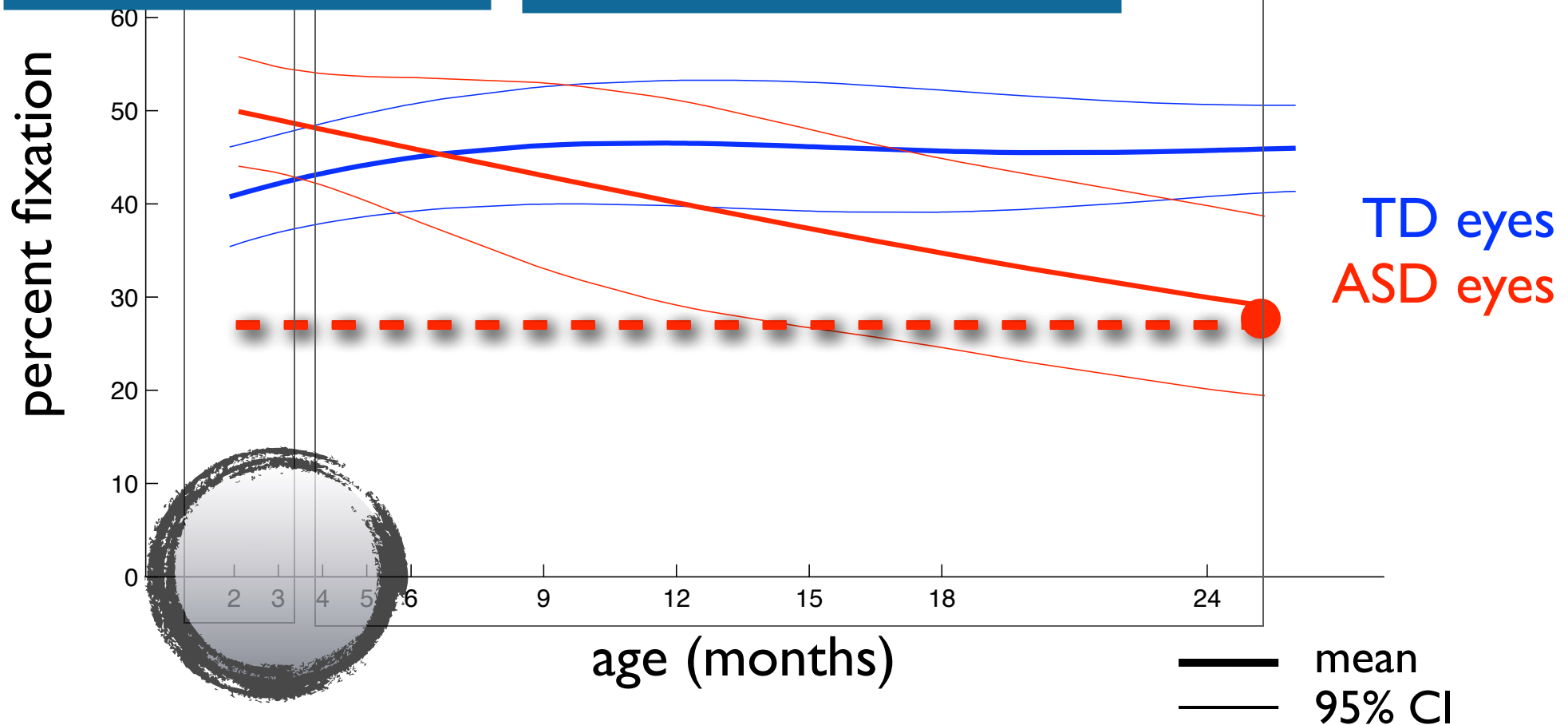


# Eye Fixation

Are we wrong? Not one but in fact two curves?

- Reflexive
- Experience Expectant
- Subcortically controlled

- Interactional, Reward-Driven
- Experience Dependent
- Cortically controlled



# A Bioethical Imperative: Access to Early Treatment - Promoting Social Engagement

---

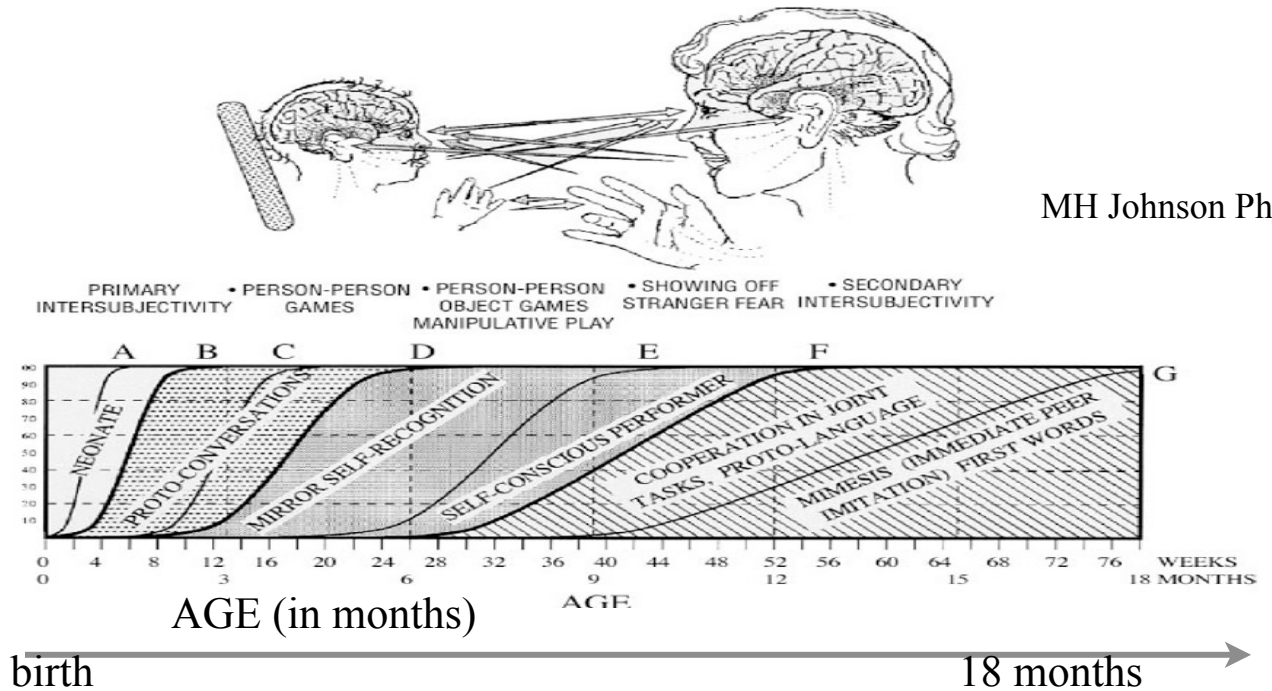
## *Reciprocal Social Interaction*



*The Brain Becomes  
Who We Are....*

JE LeDoux PhD

# Autism Disrupts the Platform for Brain Development



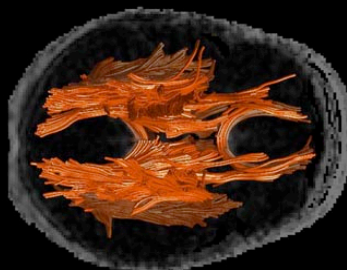
MH Johnson PhD

## White Matter Development

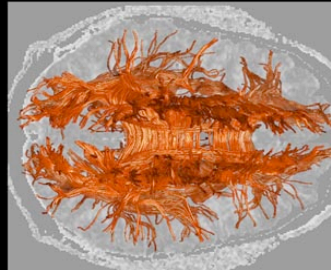
Preterm (6month)



Infant (4 weeks)



Adult (25 years)



*The Brain Becomes  
Who We Are....*

JE LeDoux PhD

H-J Park PhD

# From reducing age of diagnosis to improving access to early intervention



*(National Research Council, 2001)*

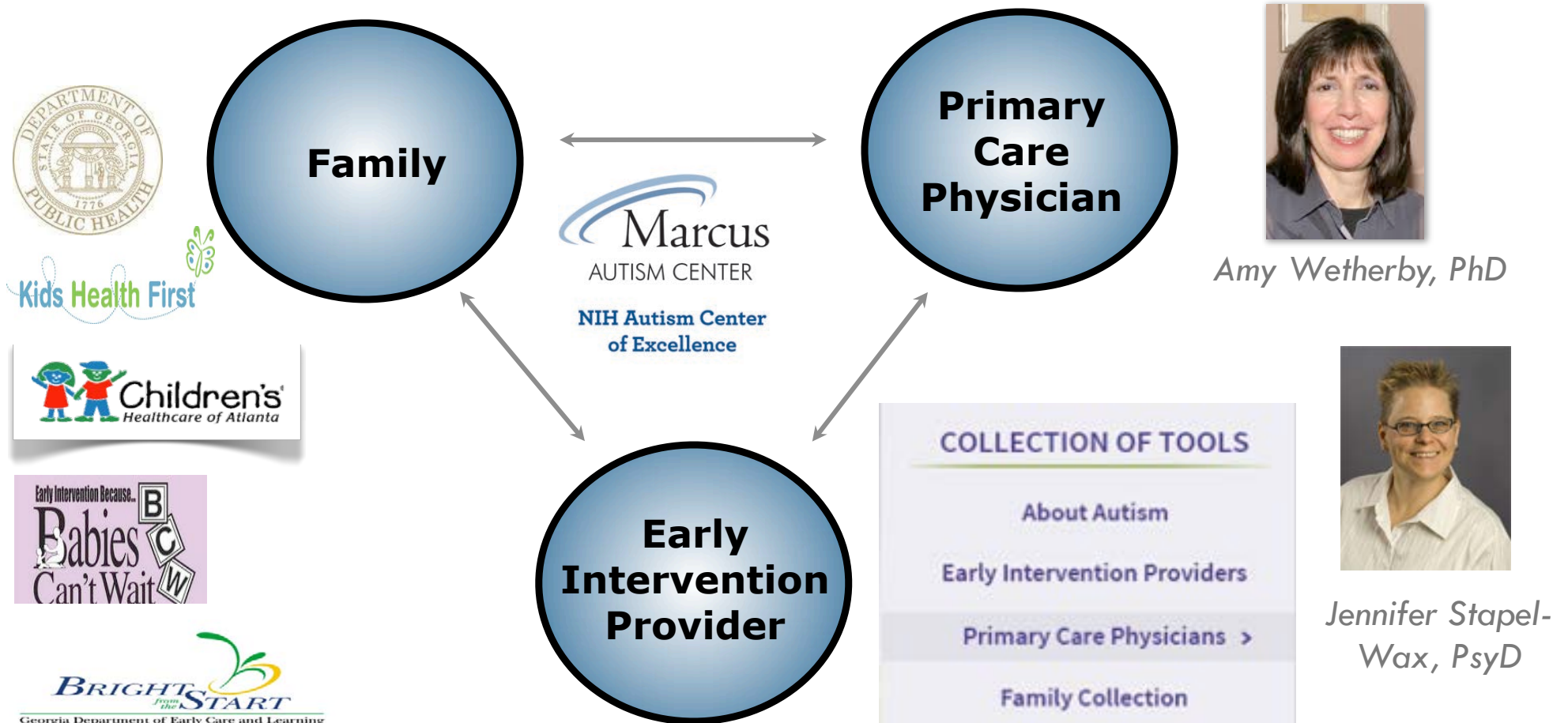
...so how do we achieve 25 hours per week in which the child is engaged ***actively*** and ***productively*** in meaningful activities?



*"Less than 20% of children who will need special services in school in the US are identified before the age of 3 years"*



# Augmenting Access to Early Treatment





## Bridging the Gap Between Science and Community Practice

[ABOUT AUTISM](#)[ABOUT AUTISM NAVIGATOR](#)[COLLECTION OF TOOLS](#)[LOGIN/REGISTER](#)

**Autism Navigator™** increases the capacity of healthcare and early intervention providers, educators, and families to improve outcomes of young children with autism spectrum disorder (ASD).

[Learn About Autism Navigator >](#)

### COLLECTION OF TOOLS

[About Autism](#)[Early Intervention Providers](#)[Primary Care Physicians >](#)[Family Collection](#)

**Autism Navigator™** is a unique collection of web-based tools that uses extensive video footage to bridge the gap between science and community practice.

**About Autism** is a tool for families, professionals, or anyone interested in learning about autism spectrum disorder (ASD). It is available free of charge. Just register and login.

[Launch About Autism >](#)

### OUR PARTNERS

Our partners are helping us make an impact on community practice.



**AUTISM INSTITUTE**  
The Florida State University College of Medicine

**Marcus**  
AUTISM CENTER



Brought to you by [Autism Institute, Florida State University College of Medicine](#).

© Copyright, Florida State University, 2012. All rights reserved.



# Treating deviations from normative social engagement: Parent-Delivered **Early Social Interaction**

**Autism NAVIGATOR™** for Early Intervention Providers

IDEAS | GLOSSARY | RESOURCES | HELP



**Unit 1: Improving Early Detection**

Importance of early detection, defining the core deficits of ASD, finding current information on prevalence and etiology, identifying early red flags of ASD in infants and toddlers

slide 35 of 66

Resume Unit

- Course Introduction
- Unit 1: Improving Early Detection**
- Unit 2: Collaborating with Families
- Unit 3: Developmental Perspective
- Unit 4: Evidence-based Intervention Strategies
- Unit 5: Prioritizing Intervention Outcomes

## Parent-Delivered ESI



Wetherby et al., 2014

# Treating deviations from normative social engagement: Parent-Delivered Social Interaction

## PEDIATRICS®

OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS



Amy Wetherby, PhD

### Parent-Implemented Social Intervention for Toddlers With Autism: An RCT



**WHAT'S KNOWN ON THIS SUBJECT:** Randomized controlled trials (RCTs) of intensive clinician-implemented interventions have demonstrated significant improvements in outcomes of toddlers and preschool children with autism spectrum disorder. RCTs of parent-implemented interventions have demonstrated improvements in parent skills, but generally they have not demonstrated effects on children's outcomes.



**WHAT THIS STUDY ADDS:** This RCT found significantly greater improvements with individual home coaching on child outcome measures of social communication, adaptive behavior, and developmental level. These findings support the efficacy of a parent-implemented intervention using little professional time, which increases potential community viability.

**AUTHORS:** Amy M. Wetherby, PhD,<sup>a,b</sup> Whitney Guthrie, MS,<sup>b,d</sup> Juliann Woods, PhD,<sup>b,c</sup> Christopher Schatschneider, PhD,<sup>d</sup> Renee D. Holland, MS,<sup>b</sup> Lindee Morgan, PhD,<sup>a,b</sup> and Catherine Lord, PhD<sup>e</sup>

Departments of <sup>a</sup>Clinical Sciences, <sup>b</sup>Autism Institute, <sup>c</sup>School of Communication Science and Disorders, and <sup>d</sup>Psychology, Florida State University, Tallahassee, Florida; and <sup>e</sup>Department of Psychiatry, Weill Cornell Medical College, New York, New York

#### KEY WORDS

autism, early intervention, toddlers, parent-implemented, outcomes

#### ABBREVIATIONS

ADOS—Autism Diagnostic Observation Schedule

ASD—autism spectrum disorder

CSBS—Communication and Symbolic Behavior Scales

EI—early intervention

FSI—Early Social Interaction



# Everyday Activities

## Play with Toys

Blocks, Puzzles, Sand box, Playdough,  
Cars and Trucks,  
Ball Games, Baby Dolls

## Play with People

Social Games like Peek-a-boo, Rough  
and Tumble, Songs & Rhymes

## Meals and Snacks

Preparation, Eating, Cleanup

## Caregiving

Dressing, Diaper Change, Bath,  
Washing Hands, Brushing Teeth

## Book Sharing

## Family Chores

Mailbox, Laundry, Care for Pets, Plants

## Goals for Early Treatment:

*Every waking hour in the home and in the community*

---

### Child Behaviors

#### **ACTIVE ENGAGEMENT**

1. Emotional Regulation
2. Productivity
3. Social Connectedness
4. Gaze to Face
5. Response to Verbal Bids
6. Directed Communication
7. Flexibility
8. Generative Ideas

### Parent Behaviors

#### **TRANSACTIONAL SUPPORTS**

1. Participation & Role
2. Make Activity Predictable
3. Follow Child's Attention
4. Promote Initiations
5. Balance of Turns
6. Support Comprehension
7. Modeling
8. Expectations & Demands

# Teaching Strategies & Supports to Promote Active Engagement

---

## Supports for better skills

- ◆ Model and expand language and play skills
- ◆ Extend activity, child's roles, & transitions
- ◆ Balance demands and supports

## Supports for social reciprocity

- ◆ Natural reinforcers
- ◆ Waiting for initiation and balance of turns
- ◆ Clear message to ensure comprehension

## Supports for a common agenda

- ◆ Positioning
- ◆ Follow child's attentional focus
- ◆ Motivating activity with clear roles & turns

# Our ultimate goal



To make autism  
an issue of diversity,  
not of disability